

Assembly Bill No. 361

CHAPTER 165

An act to add and repeal Section 89305.6 of the Education Code, and to amend, repeal, and add Section 54953 of, and to add and repeal Section 11133 of, the Government Code, relating to open meetings, and declaring the urgency thereof, to take effect immediately.

[Approved by Governor September 16, 2021. Filed with
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legislative counsel's digest

AB 361, Robert Rivas. Open meetings: state and local agencies: teleconferences.

(1) Existing law, the Ralph M. Brown Act requires, with specified exceptions, that all meetings of a legislative body of a local agency, as those terms are defined, be open and public and that all persons be permitted to attend and participate. The act contains specified provisions regarding the timelines for posting an agenda and providing for the ability of the public to directly address the legislative body on any item of interest to the public. The act generally requires all regular and special meetings of the legislative body be held within the boundaries of the territory over which the local agency exercises jurisdiction, subject to certain exceptions. The act allows for meetings to occur via teleconferencing subject to certain requirements, particularly that the legislative body notice each teleconference location of each member that will be participating in the public meeting, that each teleconference location be accessible to the public, that members of the public be allowed to address the legislative body at each teleconference location, that the legislative body post an agenda at each teleconference location, and that at least a quorum of the legislative body participate from locations within the boundaries of the local agency's jurisdiction. The act provides an exemption to the jurisdictional requirement for health authorities, as defined. The act authorizes the district attorney or any interested person, subject to certain provisions, to commence an action by mandamus or injunction for the purpose of obtaining a judicial determination that specified actions taken by a legislative body are null and void.

Existing law, the California Emergency Services Act, authorizes the Governor, or the Director of Emergency Services when the governor is inaccessible, to proclaim a state of emergency under specified circumstances.

Executive Order No. N-29-20 suspends the Ralph M. Brown Act's requirements for teleconferencing during the COVID-19 pandemic provided that notice and accessibility requirements are met, the public members are allowed to observe and address the legislative body at the meeting, and that a legislative body of a local agency has a procedure for receiving and swiftly

resolving requests for reasonable accommodation for individuals with disabilities, as specified.

This bill, until January 1, 2024, would authorize a local agency to use teleconferencing without complying with the teleconferencing requirements imposed by the Ralph M. Brown Act when a legislative body of a local agency holds a meeting during a declared state of emergency, as that term is defined, when state or local health officials have imposed or recommended measures to promote social distancing, during a proclaimed state of emergency held for the purpose of determining, by majority vote, whether meeting in person would present imminent risks to the health or safety of attendees, and during a proclaimed state of emergency when the legislative body has determined that meeting in person would present imminent risks to the health or safety of attendees, as provided.

This bill would require legislative bodies that hold teleconferenced meetings under these abbreviated teleconferencing procedures to give notice of the meeting and post agendas, as described, to allow members of the public to access the meeting and address the legislative body, to give notice of the means by which members of the public may access the meeting and offer public comment, including an opportunity for all persons to attend via a call-in option or an internet-based service option, and to conduct the meeting in a manner that protects the statutory and constitutional rights of the parties and the public appearing before the legislative body. The bill would require the legislative body to take no further action on agenda items when there is a disruption which prevents the public agency from broadcasting the meeting, or in the event of a disruption within the local agency's control which prevents members of the public from offering public comments, until public access is restored. The bill would specify that actions taken during the disruption are subject to challenge proceedings, as specified.

This bill would prohibit the legislative body from requiring public comments to be submitted in advance of the meeting and would specify that the legislative body must provide an opportunity for the public to address the legislative body and offer comment in real time. The bill would prohibit the legislative body from closing the public comment period and the opportunity to register to provide public comment, until the public comment period has elapsed or until a reasonable amount of time has elapsed, as specified. When there is a continuing state of emergency, or when state or local officials have imposed or recommended measures to promote social distancing, the bill would require a legislative body to make specified findings not later than 30 days after the first teleconferenced meeting pursuant to these provisions, and to make those findings every 30 days thereafter, in order to continue to meet under these abbreviated teleconferencing procedures.

Existing law prohibits a legislative body from requiring, as a condition to attend a meeting, a person to register the person's name, or to provide other information, or to fulfill any condition precedent to the person's attendance.

This bill would exclude from that prohibition, a registration requirement imposed by a third-party internet website or other online platform not under the control of the legislative body.

(2) Existing law, the Bagley-Keene Open Meeting Act, requires, with specified exceptions, that all meetings of a state body be open and public and all persons be permitted to attend any meeting of a state body. The act requires at least one member of the state body to be physically present at the location specified in the notice of the meeting.

The Governor's Executive Order No. N-29-20 suspends the requirements of the Bagley-Keene Open Meeting Act for teleconferencing during the COVID-19 pandemic, provided that notice and accessibility requirements are met, the public members are allowed to observe and address the state body at the meeting, and that a state body has a procedure for receiving and swiftly resolving requests for reasonable accommodation for individuals with disabilities, as specified.

This bill, until January 31, 2022, would authorize, subject to specified notice and accessibility requirements, a state body to hold public meetings through teleconferencing and to make public meetings accessible telephonically, or otherwise electronically, to all members of the public seeking to observe and to address the state body. With respect to a state body holding a public meeting pursuant to these provisions, the bill would suspend certain requirements of existing law, including the requirements that each teleconference location be accessible to the public and that members of the public be able to address the state body at each teleconference location. Under the bill, a state body that holds a meeting through teleconferencing and allows members of the public to observe and address the meeting telephonically or otherwise electronically would satisfy any requirement that the state body allow members of the public to attend the meeting and offer public comment. The bill would require that each state body that holds a meeting through teleconferencing provide notice of the meeting, and post the agenda, as provided. The bill would urge state bodies utilizing these teleconferencing procedures in the bill to use sound discretion and to make reasonable efforts to adhere as closely as reasonably possible to existing law, as provided.

(3) Existing law establishes the various campuses of the California State University under the administration of the Trustees of the California State University, and authorizes the establishment of student body organizations in connection with the operations of California State University campuses.

The Gloria Romero Open Meetings Act of 2000 generally requires a legislative body, as defined, of a student body organization to conduct its business in a meeting that is open and public. The act authorizes the legislative body to use teleconferencing, as defined, for the benefit of the public and the legislative body in connection with any meeting or proceeding authorized by law.

This bill, until January 31, 2022, would authorize, subject to specified notice and accessibility requirements, a legislative body, as defined for purposes of the act, to hold public meetings through teleconferencing and to make public meetings accessible telephonically, or otherwise electronically, to all members of the public seeking to observe and to

address the legislative body. With respect to a legislative body holding a public meeting pursuant to these provisions, the bill would suspend certain requirements of existing law, including the requirements that each teleconference location be accessible to the public and that members of the public be able to address the legislative body at each teleconference location. Under the bill, a legislative body that holds a meeting through teleconferencing and allows members of the public to observe and address the meeting telephonically or otherwise electronically would satisfy any requirement that the legislative body allow members of the public to attend the meeting and offer public comment. The bill would require that each legislative body that holds a meeting through teleconferencing provide notice of the meeting, and post the agenda, as provided. The bill would urge legislative bodies utilizing these teleconferencing procedures in the bill to use sound discretion and to make reasonable efforts to adhere as closely as reasonably possible to existing law, as provided.

(4) This bill would declare the Legislature's intent, consistent with the Governor's Executive Order No. N-29-20, to improve and enhance public access to state and local agency meetings during the COVID-19 pandemic and future emergencies by allowing broader access through teleconferencing options.

(5) This bill would incorporate additional changes to Section 54953 of the Government Code proposed by AB 339 to be operative only if this bill and AB 339 are enacted and this bill is enacted last.

(6) The California Constitution requires local agencies, for the purpose of ensuring public access to the meetings of public bodies and the writings of public officials and agencies, to comply with a statutory enactment that amends or enacts laws relating to public records or open meetings and contains findings demonstrating that the enactment furthers the constitutional requirements relating to this purpose.

This bill would make legislative findings to that effect.

(7) Existing constitutional provisions require that a statute that limits the right of access to the meetings of public bodies or the writings of public officials and agencies be adopted with findings demonstrating the interest protected by the limitation and the need for protecting that interest.

This bill would make legislative findings to that effect.

(8) This bill would declare that it is to take effect immediately as an urgency statute.

The people of the State of California do enact as follows:

SECTION 1. Section 89305.6 is added to the Education Code, to read:

89305.6. (a) Notwithstanding any other provision of this article, and subject to the notice and accessibility requirements in subdivisions (d) and (e), a legislative body may hold public meetings through teleconferencing and make public meetings accessible telephonically, or otherwise electronically, to all members of the public seeking to observe and to address the legislative body.

(b) (1) For a legislative body holding a public meeting through teleconferencing pursuant to this section, all requirements in this article

requiring the physical presence of members, the clerk or other personnel of the legislative body, or the public, as a condition of participation in or quorum for a public meeting, are hereby suspended.

(2) For a legislative body holding a public meeting through teleconferencing pursuant to this section, all of the following requirements in this article are suspended:

(A) Each teleconference location from which a member will be participating in a public meeting or proceeding be identified in the notice and agenda of the public meeting or proceeding.

(B) Each teleconference location be accessible to the public.

(C) Members of the public may address the legislative body at each teleconference conference location.

(D) Post agendas at all teleconference locations.

(E) At least one member of the legislative body be physically present at the location specified in the notice of the meeting.

(c) A legislative body that holds a meeting through teleconferencing and allows members of the public to observe and address the meeting telephonically or otherwise electronically, consistent with the notice and accessibility requirements in subdivisions (d) and (e), shall have satisfied any requirement that the legislative body allow members of the public to attend the meeting and offer public comment. A legislative body need not make available any physical location from which members of the public may observe the meeting and offer public comment.

(d) If a legislative body holds a meeting through teleconferencing pursuant to this section and allows members of the public to observe and address the meeting telephonically or otherwise electronically, the legislative body shall also do both of the following:

(1) Implement a procedure for receiving and swiftly resolving requests for reasonable modification or accommodation from individuals with disabilities, consistent with the federal Americans with Disabilities Act of 1990 (42 U.S.C. Sec. 12101 et seq.), and resolving any doubt whatsoever in favor of accessibility.

(2) Advertise that procedure each time notice is given of the means by which members of the public may observe the meeting and offer public comment, pursuant to paragraph (2) of subdivision (e).

(e) Except to the extent this section provides otherwise, each legislative body that holds a meeting through teleconferencing pursuant to this section shall do both of the following:

(1) Give advance notice of the time of, and post the agenda for, each public meeting according to the timeframes otherwise prescribed by this article, and using the means otherwise prescribed by this article, as applicable.

(2) In each instance in which notice of the time of the meeting is otherwise given or the agenda for the meeting is otherwise posted, also give notice of the means by which members of the public may observe the meeting and offer public comment. As to any instance in which there is a change in the means of public observation and comment, or any instance prior to the effective date of this section in which the time of the meeting has been noticed or the agenda for the meeting has been posted without also including notice of the means of public observation and comment, a

legislative body may satisfy this requirement by advertising the means of public observation and comment using the most rapid means of communication available at the time. Advertising the means of public observation and comment using the most rapid means of communication available at the time shall include, but need not be limited to, posting such means on the legislative body's internet website.

(f) All legislative bodies utilizing the teleconferencing procedures in this section are urged to use sound discretion and to make reasonable efforts to adhere as closely as reasonably possible to the otherwise applicable provisions of this article, in order to maximize transparency and provide the public access to legislative body meetings.

(g) This section shall remain in effect only until January 31, 2022, and as of that date is repealed.

SEC. 2. Section 11133 is added to the Government Code, to read:

11133. (a) Notwithstanding any other provision of this article, and subject to the notice and accessibility requirements in subdivisions (d) and (e), a state body may hold public meetings through teleconferencing and make public meetings accessible telephonically, or otherwise electronically, to all members of the public seeking to observe and to address the state body.

(b) (1) For a state body holding a public meeting through teleconferencing pursuant to this section, all requirements in this article requiring the physical presence of members, the clerk or other personnel of the state body, or the public, as a condition of participation in or quorum for a public meeting, are hereby suspended.

(2) For a state body holding a public meeting through teleconferencing pursuant to this section, all of the following requirements in this article are suspended:

(A) Each teleconference location from which a member will be participating in a public meeting or proceeding be identified in the notice and agenda of the public meeting or proceeding.

(B) Each teleconference location be accessible to the public.

(C) Members of the public may address the state body at each teleconference conference location.

(D) Post agendas at all teleconference locations.

(E) At least one member of the state body be physically present at the location specified in the notice of the meeting.

(c) A state body that holds a meeting through teleconferencing and allows members of the public to observe and address the meeting telephonically or otherwise electronically, consistent with the notice and accessibility requirements in subdivisions (d) and (e), shall have satisfied any requirement that the state body allow members of the public to attend the meeting and offer public comment. A state body need not make available any physical location from which members of the public may observe the meeting and offer public comment.

(d) If a state body holds a meeting through teleconferencing pursuant to this section and allows members of the public to observe and address the meeting telephonically or otherwise electronically, the state body shall also do both of the following:

(1) Implement a procedure for receiving and swiftly resolving requests for reasonable modification or accommodation from individuals with disabilities, consistent with the federal Americans with Disabilities Act of 1990 (42 U.S.C. Sec. 12101 et seq.), and resolving any doubt whatsoever in favor of accessibility.

(2) Advertise that procedure each time notice is given of the means by which members of the public may observe the meeting and offer public comment, pursuant to paragraph (2) of subdivision (e).

(e) Except to the extent this section provides otherwise, each state body that holds a meeting through teleconferencing pursuant to this section shall do both of the following:

(1) Give advance notice of the time of, and post the agenda for, each public meeting according to the timeframes otherwise prescribed by this article, and using the means otherwise prescribed by this article, as applicable.

(2) In each instance in which notice of the time of the meeting is otherwise given or the agenda for the meeting is otherwise posted, also give notice of the means by which members of the public may observe the meeting and offer public comment. As to any instance in which there is a change in the means of public observation and comment, or any instance prior to the effective date of this section in which the time of the meeting has been noticed or the agenda for the meeting has been posted without also including notice of the means of public observation and comment, a state body may satisfy this requirement by advertising the means of public observation and comment using the most rapid means of communication available at the time. Advertising the means of public observation and comment using the most rapid means of communication available at the time shall include, but need not be limited to, posting such means on the state body's internet website.

(f) All state bodies utilizing the teleconferencing procedures in this section are urged to use sound discretion and to make reasonable efforts to adhere as closely as reasonably possible to the otherwise applicable provisions of this article, in order to maximize transparency and provide the public access to state body meetings.

(g) This section shall remain in effect only until January 31, 2022, and as of that date is repealed.

SEC. 3. Section 54953 of the Government Code is amended to read:

54953. (a) All meetings of the legislative body of a local agency shall be open and public, and all persons shall be permitted to attend any meeting of the legislative body of a local agency, except as otherwise provided in this chapter.

(b) (1) Notwithstanding any other provision of law, the legislative body of a local agency may use teleconferencing for the benefit of the public and the legislative body of a local agency in connection with any meeting or proceeding authorized by law. The teleconferenced meeting or proceeding shall comply with all otherwise applicable requirements of this chapter and all otherwise applicable provisions of law relating to a specific type of meeting or proceeding.

(2) Teleconferencing, as authorized by this section, may be used for all purposes in connection with any meeting within the subject matter jurisdiction of the legislative body. All votes taken during a teleconferenced meeting shall be by rollcall.

(3) If the legislative body of a local agency elects to use teleconferencing, it shall post agendas at all teleconference locations and conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties or the public appearing before the legislative body of a local agency. Each teleconference location shall be identified in the notice and agenda of the meeting or proceeding, and each teleconference location shall be accessible to the public. During the teleconference, at least a quorum of the members of the legislative body shall participate from locations within the boundaries of the territory over which the local agency exercises jurisdiction, except as provided in subdivisions (d) and (e). The agenda shall provide an opportunity for members of the public to address the legislative body directly pursuant to Section 54954.3 at each teleconference location.

(4) For the purposes of this section, “teleconference” means a meeting of a legislative body, the members of which are in different locations, connected by electronic means, through either audio or video, or both. Nothing in this section shall prohibit a local agency from providing the public with additional teleconference locations.

(c) (1) No legislative body shall take action by secret ballot, whether preliminary or final.

(2) The legislative body of a local agency shall publicly report any action taken and the vote or abstention on that action of each member present for the action.

(3) Prior to taking final action, the legislative body shall orally report a summary of a recommendation for a final action on the salaries, salary schedules, or compensation paid in the form of fringe benefits of a local agency executive, as defined in subdivision (d) of Section 3511.1, during the open meeting in which the final action is to be taken. This paragraph shall not affect the public’s right under the California Public Records Act (Chapter 3.5 (commencing with Section 6250) of Division 7 of Title 1) to inspect or copy records created or received in the process of developing the recommendation.

(d) (1) Notwithstanding the provisions relating to a quorum in paragraph (3) of subdivision (b), if a health authority conducts a teleconference meeting, members who are outside the jurisdiction of the authority may be counted toward the establishment of a quorum when participating in the teleconference if at least 50 percent of the number of members that would establish a quorum are present within the boundaries of the territory over which the authority exercises jurisdiction, and the health authority provides a teleconference number, and associated access codes, if any, that allows any person to call in to participate in the meeting and the number and access codes are identified in the notice and agenda of the meeting.

(2) Nothing in this subdivision shall be construed as discouraging health authority members from regularly meeting at a common physical site within the jurisdiction of the authority or from using teleconference locations within or near the jurisdiction of the authority. A teleconference

meeting for which a quorum is established pursuant to this subdivision shall be subject to all other requirements of this section.

(3) For purposes of this subdivision, a health authority means any entity created pursuant to Sections 14018.7, 14087.31, 14087.35, 14087.36, 14087.38, and 14087.9605 of the Welfare and Institutions Code, any joint powers authority created pursuant to Article 1 (commencing with Section 6500) of Chapter 5 of Division 7 for the purpose of contracting pursuant to Section 14087.3 of the Welfare and Institutions Code, and any advisory committee to a county-sponsored health plan licensed pursuant to Chapter 2.2 (commencing with Section 1340) of Division 2 of the Health and Safety Code if the advisory committee has 12 or more members.

(e) (1) A local agency may use teleconferencing without complying with the requirements of paragraph (3) of subdivision (b) if the legislative body complies with the requirements of paragraph (2) of this subdivision in any of the following circumstances:

(A) The legislative body holds a meeting during a proclaimed state of emergency, and state or local officials have imposed or recommended measures to promote social distancing.

(B) The legislative body holds a meeting during a proclaimed state of emergency for the purpose of determining, by majority vote, whether as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees.

(C) The legislative body holds a meeting during a proclaimed state of emergency and has determined, by majority vote, pursuant to subparagraph (B), that, as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees.

(2) A legislative body that holds a meeting pursuant to this subdivision shall do all of the following:

(A) The legislative body shall give notice of the meeting and post agendas as otherwise required by this chapter.

(B) The legislative body shall allow members of the public to access the meeting and the agenda shall provide an opportunity for members of the public to address the legislative body directly pursuant to Section 54954.3.

In each instance in which notice of the time of the teleconferenced meeting is otherwise given or the agenda for the meeting is otherwise posted, the legislative body shall also give notice of the means by which members of the public may access the meeting and offer public comment. The agenda shall identify and include an opportunity for all persons to attend via a call-in option or an internet-based service option. This subparagraph shall not be construed to require the legislative body to provide a physical location from which the public may attend or comment.

(C) The legislative body shall conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties and the public appearing before the legislative body of a local agency.

(D) In the event of a disruption which prevents the public agency from broadcasting the meeting to members of the public using the call-in option or internet-based service option, or in the event of a disruption within the local agency's control which prevents members of the public from offering public comments using the call-in option or internet-based service option,

the body shall take no further action on items appearing on the meeting agenda until public access to the meeting via the call-in option or internet-based service option is restored. Actions taken on agenda items during a disruption which prevents the public agency from broadcasting the meeting may be challenged pursuant to Section 54960.1.

(E) The legislative body shall not require public comments to be submitted in advance of the meeting and must provide an opportunity for the public to address the legislative body and offer comment in real time. This subparagraph shall not be construed to require the legislative body to provide a physical location from which the public may attend or comment.

(F) Notwithstanding Section 54953.3, an individual desiring to provide public comment through the use of an internet website, or other online platform, not under the control of the local legislative body, that requires registration to log in to a teleconference may be required to register as required by the third-party internet website or online platform to participate.

(G) (i) A legislative body that provides a timed public comment period for each agenda item shall not close the public comment period for the agenda item, or the opportunity to register, pursuant to subparagraph (F), to provide public comment until that timed public comment period has elapsed.

(ii) A legislative body that does not provide a timed public comment period, but takes public comment separately on each agenda item, shall allow a reasonable amount of time per agenda item to allow public members the opportunity to provide public comment, including time for members of the public to register pursuant to subparagraph (F), or otherwise be recognized for the purpose of providing public comment.

(iii) A legislative body that provides a timed general public comment period that does not correspond to a specific agenda item shall not close the public comment period or the opportunity to register, pursuant to subparagraph (F), until the timed general public comment period has elapsed.

(3) If a state of emergency remains active, or state or local officials have imposed or recommended measures to promote social distancing, in order to continue to teleconference without compliance with paragraph (3) of subdivision (b), the legislative body shall, not later than 30 days after teleconferencing for the first time pursuant to subparagraph (A), (B), or (C) of paragraph (1), and every 30 days thereafter, make the following findings by majority vote:

(A) The legislative body has reconsidered the circumstances of the state of emergency.

(B) Any of the following circumstances exist:

(i) The state of emergency continues to directly impact the ability of the members to meet safely in person.

(ii) State or local officials continue to impose or recommend measures to promote social distancing.

(4) For the purposes of this subdivision, “state of emergency” means a state of emergency proclaimed pursuant to Section 8625 of the California Emergency Services Act (Article 1 (commencing with Section 8550) of Chapter 7 of Division 1 of Title 2).

(f) This section shall remain in effect only until January 1, 2024, and as of that date is repealed.

SEC. 3.1. Section 54953 of the Government Code is amended to read:

54953. (a) All meetings of the legislative body of a local agency shall be open and public, and all persons shall be permitted to attend any meeting of the legislative body of a local agency in person, except as otherwise provided in this chapter. Local agencies shall conduct meetings subject to this chapter consistent with applicable state and federal civil rights laws, including, but not limited to, any applicable language access and other nondiscrimination obligations.

(b) (1) Notwithstanding any other provision of law, the legislative body of a local agency may use teleconferencing for the benefit of the public and the legislative body of a local agency in connection with any meeting or proceeding authorized by law. The teleconferenced meeting or proceeding shall comply with all otherwise applicable requirements of this chapter and all otherwise applicable provisions of law relating to a specific type of meeting or proceeding.

(2) Teleconferencing, as authorized by this section, may be used for all purposes in connection with any meeting within the subject matter jurisdiction of the legislative body. All votes taken during a teleconferenced meeting shall be by rollcall.

(3) If the legislative body of a local agency elects to use teleconferencing, it shall post agendas at all teleconference locations and conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties or the public appearing before the legislative body of a local agency. Each teleconference location shall be identified in the notice and agenda of the meeting or proceeding, and each teleconference location shall be accessible to the public. During the teleconference, at least a quorum of the members of the legislative body shall participate from locations within the boundaries of the territory over which the local agency exercises jurisdiction, except as provided in subdivisions (d) and (e). The agenda shall provide an opportunity for members of the public to address the legislative body directly pursuant to Section 54954.3 at each teleconference location.

(4) For the purposes of this section, “teleconference” means a meeting of a legislative body, the members of which are in different locations, connected by electronic means, through either audio or video, or both. Nothing in this section shall prohibit a local agency from providing the public with additional teleconference locations.

(c) (1) No legislative body shall take action by secret ballot, whether preliminary or final.

(2) The legislative body of a local agency shall publicly report any action taken and the vote or abstention on that action of each member present for the action.

(3) Prior to taking final action, the legislative body shall orally report a summary of a recommendation for a final action on the salaries, salary schedules, or compensation paid in the form of fringe benefits of a local agency executive, as defined in subdivision (d) of Section 3511.1, during the open meeting in which the final action is to be taken. This paragraph shall not affect the public’s right under the California Public Records Act

(Chapter 3.5 (commencing with Section 6250) of Division 7 of Title 1) to inspect or copy records created or received in the process of developing the recommendation.

(d) (1) Notwithstanding the provisions relating to a quorum in paragraph (3) of subdivision (b), if a health authority conducts a teleconference meeting, members who are outside the jurisdiction of the authority may be counted toward the establishment of a quorum when participating in the teleconference if at least 50 percent of the number of members that would establish a quorum are present within the boundaries of the territory over which the authority exercises jurisdiction, and the health authority provides a teleconference number, and associated access codes, if any, that allows any person to call in to participate in the meeting and the number and access codes are identified in the notice and agenda of the meeting.

(2) Nothing in this subdivision shall be construed as discouraging health authority members from regularly meeting at a common physical site within the jurisdiction of the authority or from using teleconference locations within or near the jurisdiction of the authority. A teleconference meeting for which a quorum is established pursuant to this subdivision shall be subject to all other requirements of this section.

(3) For purposes of this subdivision, a health authority means any entity created pursuant to Sections 14018.7, 14087.31, 14087.35, 14087.36, 14087.38, and 14087.9605 of the Welfare and Institutions Code, any joint powers authority created pursuant to Article 1 (commencing with Section 6500) of Chapter 5 of Division 7 for the purpose of contracting pursuant to Section 14087.3 of the Welfare and Institutions Code, and any advisory committee to a county-sponsored health plan licensed pursuant to Chapter

2.2 (commencing with Section 1340) of Division 2 of the Health and Safety Code if the advisory committee has 12 or more members.

(e) (1) A local agency may use teleconferencing without complying with the requirements of paragraph (3) of subdivision (b) if the legislative body complies with the requirements of paragraph (2) of this subdivision in any of the following circumstances:

(A) The legislative body holds a meeting during a proclaimed state of emergency, and state or local officials have imposed or recommended measures to promote social distancing.

(B) The legislative body holds a meeting during a proclaimed state of emergency for the purpose of determining, by majority vote, whether as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees.

(C) The legislative body holds a meeting during a proclaimed state of emergency and has determined, by majority vote, pursuant to subparagraph (B), that, as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees.

(2) A legislative body that holds a meeting pursuant to this subdivision shall do all of the following:

(A) The legislative body shall give notice of the meeting and post agendas as otherwise required by this chapter.

(B) The legislative body shall allow members of the public to access the meeting and the agenda shall provide an opportunity for members of

the public to address the legislative body directly pursuant to Section 54954.3. In each instance in which notice of the time of the teleconferenced meeting is otherwise given or the agenda for the meeting is otherwise posted, the legislative body shall also give notice of the means by which members of the public may access the meeting and offer public comment. The agenda shall identify and include an opportunity for all persons to attend via a call-in option or an internet-based service option. This subparagraph shall not be construed to require the legislative body to provide a physical location from which the public may attend or comment.

(C) The legislative body shall conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties and the public appearing before the legislative body of a local agency.

(D) In the event of a disruption which prevents the public agency from broadcasting the meeting to members of the public using the call-in option or internet-based service option, or in the event of a disruption within the local agency's control which prevents members of the public from offering public comments using the call-in option or internet-based service option, the body shall take no further action on items appearing on the meeting agenda until public access to the meeting via the call-in option or internet-based service option is restored. Actions taken on agenda items during a disruption which prevents the public agency from broadcasting the meeting may be challenged pursuant to Section 54960.1.

(E) The legislative body shall not require public comments to be submitted in advance of the meeting and must provide an opportunity for the public to address the legislative body and offer comment in real time. This subparagraph shall not be construed to require the legislative body to provide a physical location from which the public may attend or comment.

(F) Notwithstanding Section 54953.3, an individual desiring to provide public comment through the use of an internet website, or other online platform, not under the control of the local legislative body, that requires registration to log in to a teleconference may be required to register as required by the third-party internet website or online platform to participate.

(G) (i) A legislative body that provides a timed public comment period for each agenda item shall not close the public comment period for the agenda item, or the opportunity to register, pursuant to subparagraph (F), to provide public comment until that timed public comment period has elapsed.

(ii) A legislative body that does not provide a timed public comment period, but takes public comment separately on each agenda item, shall allow a reasonable amount of time per agenda item to allow public members the opportunity to provide public comment, including time for members of the public to register pursuant to subparagraph (F), or otherwise be recognized for the purpose of providing public comment.

(iii) A legislative body that provides a timed general public comment period that does not correspond to a specific agenda item shall not close the public comment period or the opportunity to register, pursuant to subparagraph (F), until the timed general public comment period has elapsed.

(3) If a state of emergency remains active, or state or local officials have imposed or recommended measures to promote social distancing, in order to continue to teleconference without compliance with paragraph (3) of subdivision (b), the legislative body shall, not later than 30 days after teleconferencing for the first time pursuant to subparagraph (A), (B), or (C) of paragraph (1), and every 30 days thereafter, make the following findings by majority vote:

(A) The legislative body has reconsidered the circumstances of the state of emergency.

(B) Any of the following circumstances exist:

(i) The state of emergency continues to directly impact the ability of the members to meet safely in person.

(ii) State or local officials continue to impose or recommend measures to promote social distancing.

(4) For the purposes of this subdivision, “state of emergency” means a state of emergency proclaimed pursuant to Section 8625 of the California Emergency Services Act (Article 1 (commencing with Section 8550) of Chapter 7 of Division 1 of Title 2).

(f) This section shall remain in effect only until January 1, 2024, and as of that date is repealed.

SEC. 4. Section 54953 is added to the Government Code, to read:

54953. (a) All meetings of the legislative body of a local agency shall be open and public, and all persons shall be permitted to attend any meeting of the legislative body of a local agency, except as otherwise provided in this chapter.

(b) (1) Notwithstanding any other provision of law, the legislative body of a local agency may use teleconferencing for the benefit of the public and the legislative body of a local agency in connection with any meeting or proceeding authorized by law. The teleconferenced meeting or proceeding shall comply with all requirements of this chapter and all otherwise applicable provisions of law relating to a specific type of meeting or proceeding.

(2) Teleconferencing, as authorized by this section, may be used for all purposes in connection with any meeting within the subject matter jurisdiction of the legislative body. All votes taken during a teleconferenced meeting shall be by rollcall.

(3) If the legislative body of a local agency elects to use teleconferencing, it shall post agendas at all teleconference locations and conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties or the public appearing before the legislative body of a local agency. Each teleconference location shall be identified in the notice and agenda of the meeting or proceeding, and each teleconference location shall be accessible to the public. During the teleconference, at least a quorum of the members of the legislative body shall participate from locations within the boundaries of the territory over which the local agency exercises jurisdiction, except as provided in subdivision (d). The agenda shall provide an opportunity for members of the public to address the legislative body directly pursuant to Section 54954.3 at each teleconference location.

(4) For the purposes of this section, “teleconference” means a meeting of a legislative body, the members of which are in different locations, connected by electronic means, through either audio or video, or both. Nothing in this section shall prohibit a local agency from providing the public with additional teleconference locations

(c) (1) No legislative body shall take action by secret ballot, whether preliminary or final.

(2) The legislative body of a local agency shall publicly report any action taken and the vote or abstention on that action of each member present for the action.

(3) Prior to taking final action, the legislative body shall orally report a summary of a recommendation for a final action on the salaries, salary schedules, or compensation paid in the form of fringe benefits of a local agency executive, as defined in subdivision (d) of Section 3511.1, during the open meeting in which the final action is to be taken. This paragraph shall not affect the public’s right under the California Public Records Act (Chapter 3.5 (commencing with Section 6250) of Division 7 of Title 1) to inspect or copy records created or received in the process of developing the recommendation.

(d) (1) Notwithstanding the provisions relating to a quorum in paragraph (3) of subdivision (b), if a health authority conducts a teleconference meeting, members who are outside the jurisdiction of the authority may be counted toward the establishment of a quorum when participating in the teleconference if at least 50 percent of the number of members that would establish a quorum are present within the boundaries of the territory over which the authority exercises jurisdiction, and the health authority provides a teleconference number, and associated access codes, if any, that allows any person to call in to participate in the meeting and the number and access codes are identified in the notice and agenda of the meeting.

(2) Nothing in this subdivision shall be construed as discouraging health authority members from regularly meeting at a common physical site within the jurisdiction of the authority or from using teleconference locations within or near the jurisdiction of the authority. A teleconference meeting for which a quorum is established pursuant to this subdivision shall be subject to all other requirements of this section.

(3) For purposes of this subdivision, a health authority means any entity created pursuant to Sections 14018.7, 14087.31, 14087.35, 14087.36, 14087.38, and 14087.9605 of the Welfare and Institutions Code, any joint powers authority created pursuant to Article 1 (commencing with Section 6500) of Chapter 5 of Division 7 for the purpose of contracting pursuant to Section 14087.3 of the Welfare and Institutions Code, and any advisory committee to a county-sponsored health plan licensed pursuant to Chapter 2.2 (commencing with Section 1340) of Division 2 of the Health and Safety Code if the advisory committee has 12 or more members.

(e) This section shall become operative January 1, 2024.

SEC. 4.1. Section 54953 is added to the Government Code, to read:

54953. (a) All meetings of the legislative body of a local agency shall be open and public, and all persons shall be permitted to attend any meeting of the legislative body of a local agency, in person except as otherwise

provided in this chapter. Local agencies shall conduct meetings subject to this chapter consistent with applicable state and federal civil rights laws, including, but not limited to, any applicable language access and other nondiscrimination obligations.

(b) (1) Notwithstanding any other provision of law, the legislative body of a local agency may use teleconferencing for the benefit of the public and the legislative body of a local agency in connection with any meeting or proceeding authorized by law. The teleconferenced meeting or proceeding shall comply with all requirements of this chapter and all otherwise applicable provisions of law relating to a specific type of meeting or proceeding.

(2) Teleconferencing, as authorized by this section, may be used for all purposes in connection with any meeting within the subject matter jurisdiction of the legislative body. All votes taken during a teleconferenced meeting shall be by rollcall.

(3) If the legislative body of a local agency elects to use teleconferencing, it shall post agendas at all teleconference locations and conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties or the public appearing before the legislative body of a local agency. Each teleconference location shall be identified in the notice and agenda of the meeting or proceeding, and each teleconference location shall be accessible to the public. During the teleconference, at least a quorum of the members of the legislative body shall participate from locations within the boundaries of the territory over which the local agency exercises jurisdiction, except as provided in subdivision (d). The agenda shall provide an opportunity for members of the public to address the legislative body directly pursuant to Section 54954.3 at each teleconference location.

(4) For the purposes of this section, “teleconference” means a meeting of a legislative body, the members of which are in different locations, connected by electronic means, through either audio or video, or both. Nothing in this section shall prohibit a local agency from providing the public with additional teleconference locations.

(c) (1) No legislative body shall take action by secret ballot, whether preliminary or final.

(2) The legislative body of a local agency shall publicly report any action taken and the vote or abstention on that action of each member present for the action.

(3) Prior to taking final action, the legislative body shall orally report a summary of a recommendation for a final action on the salaries, salary schedules, or compensation paid in the form of fringe benefits of a local agency executive, as defined in subdivision (d) of Section 3511.1, during the open meeting in which the final action is to be taken. This paragraph shall not affect the public’s right under the California Public Records Act (Chapter 3.5 (commencing with Section 6250) of Division 7 of Title 1) to inspect or copy records created or received in the process of developing the recommendation.

(d) (1) Notwithstanding the provisions relating to a quorum in paragraph (3) of subdivision (b), if a health authority conducts a teleconference meeting, members who are outside the jurisdiction of the authority may be

counted toward the establishment of a quorum when participating in the teleconference if at least 50 percent of the number of members that would establish a quorum are present within the boundaries of the territory over which the authority exercises jurisdiction, and the health authority provides a teleconference number, and associated access codes, if any, that allows any person to call in to participate in the meeting and the number and access codes are identified in the notice and agenda of the meeting.

(2) Nothing in this subdivision shall be construed as discouraging health authority members from regularly meeting at a common physical site within the jurisdiction of the authority or from using teleconference locations within or near the jurisdiction of the authority. A teleconference meeting for which a quorum is established pursuant to this subdivision shall be subject to all other requirements of this section.

(3) For purposes of this subdivision, a health authority means any entity created pursuant to Sections 14018.7, 14087.31, 14087.35, 14087.36, 14087.38, and 14087.9605 of the Welfare and Institutions Code, any joint powers authority created pursuant to Article 1 (commencing with Section 6500) of Chapter 5 of Division 7 for the purpose of contracting pursuant to Section 14087.3 of the Welfare and Institutions Code, and any advisory committee to a county-sponsored health plan licensed pursuant to Chapter 2.2 (commencing with Section 1340) of Division 2 of the Health and Safety Code if the advisory committee has 12 or more members.

(e) This section shall become operative January 1, 2024. SEC. 5. Sections 3.1 and 4.1 of this bill incorporate amendments to Section 54953 of the Government Code proposed by both this bill and Assembly Bill 339. Those sections of this bill shall only become operative if (1) both bills are enacted and become effective on or before January 1, 2022, but this bill becomes operative first, (2) each bill amends Section 54953 of the Government Code, and (3) this bill is enacted after Assembly Bill 339, in which case Section 54953 of the Government Code, as amended by Sections 3 and 4 of this bill, shall remain operative only until the operative date of Assembly Bill 339, at which time Sections 3.1 and 4.1 of this bill shall become operative.

SEC. 6. It is the intent of the Legislature in enacting this act to improve and enhance public access to state and local agency meetings during the COVID-19 pandemic and future applicable emergencies, by allowing broader access through teleconferencing options consistent with the Governor's Executive Order No. N-29-20 dated March 17, 2020, permitting expanded use of teleconferencing during the COVID-19 pandemic.

SEC. 7. The Legislature finds and declares that Sections 3 and 4 of this act, which amend, repeal, and add Section 54953 of the Government Code, further, within the meaning of paragraph (7) of subdivision (b) of Section 3 of Article I of the California Constitution, the purposes of that constitutional section as it relates to the right of public access to the meetings of local public bodies or the writings of local public officials and local agencies. Pursuant to paragraph (7) of subdivision (b) of Section 3 of Article I of the California Constitution, the Legislature makes the following findings:

This act is necessary to ensure minimum standards for public participation and notice requirements allowing for greater public participation in teleconference meetings during applicable emergencies.

SEC. 8. (a) The Legislature finds and declares that during the COVID-19 public health emergency, certain requirements of the Bagley-Keene Open Meeting Act (Article 9 (commencing with Section 11120) of Chapter 1 of Part 1 of Division 3 of Title 2 of the Government Code) were suspended by Executive Order N-29-20. Audio and video teleconference were widely used to conduct public meetings in lieu of physical location meetings, and public meetings conducted by teleconference during the COVID-19 public health emergency have been productive, have increased public participation by all members of the public regardless of their location in the state and ability to travel to physical meeting locations, have protected the health and safety of civil servants and the public, and have reduced travel costs incurred by members of state bodies and reduced work hours spent traveling to and from meetings.

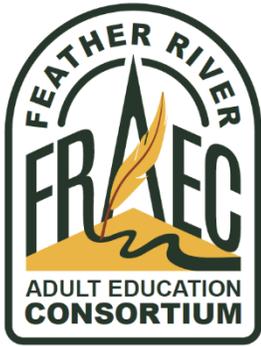
(b) The Legislature finds and declares that Section 1 of this act, which adds and repeals Section 89305.6 of the Education Code, Section 2 of this act, which adds and repeals Section 11133 of the Government Code, and Sections 3 and 4 of this act, which amend, repeal, and add Section 54953 of the Government Code, all increase and potentially limit the public's right of access to the meetings of public bodies or the writings of public officials and agencies within the meaning of Section 3 of Article I of the California Constitution. Pursuant to that constitutional provision, the Legislature makes the following findings to demonstrate the interest protected by this limitation and the need for protecting that interest:

(1) By removing the requirement that public meetings be conducted at a primary physical location with a quorum of members present, this act protects the health and safety of civil servants and the public and does not preference the experience of members of the public who might be able to attend a meeting in a physical location over members of the public who cannot travel or attend that meeting in a physical location.

(2) By removing the requirement for agendas to be placed at the location of each public official participating in a public meeting remotely, including from the member's private home or hotel room, this act protects the personal, private information of public officials and their families while preserving the public's right to access information concerning the conduct of the people's business.

SEC. 9. This act is an urgency statute necessary for the immediate preservation of the public peace, health, or safety within the meaning of Article IV of the California Constitution and shall go into immediate effect. The facts constituting the necessity are:

In order to ensure that state and local agencies can continue holding public meetings while providing essential services like water, power, and fire protection to their constituents during public health, wildfire, or other states of emergencies, it is necessary that this act take effect immediately.



Feather River Adult Education Consortium – BOARD RETREAT MINUTES

DATE: Wednesday July 21, 2021 (11:00 a.m. – 3:00 p.m.)

LOCATION: Sierra Pines Resort, 104 Main St, Sierra City, CA 96125

[⌘] Included in Board Packet

PLEASE NOTE: Agenda related documents distributed to the Feather River Adult Education Consortium (FRAEC) Board for the Board Meeting may be viewed in the Superintendent’s Office at the Plumas County Office of Education. Individuals who require special accommodation, including but not limited to an American Sign Language interpreter, accessible seating, or documentation in accessible formats, should contact the Acting Consortium Lead at Mitch.Rosin2@gmail.com at least three days before the meeting date.

Attendance (v = voting member)

Feather River College	<input checked="" type="checkbox"/> Derek Lerch (v)	<input type="checkbox"/> Kevin Trutna (v)	<input type="checkbox"/>	<input type="checkbox"/>
PUSD/PCOE	<input checked="" type="checkbox"/> Kristy Warren (v)	<input type="checkbox"/> Terry Oestreich (v)	<input type="checkbox"/> Brad Miller	<input type="checkbox"/> Bob Buchanan
	<input type="checkbox"/> Lisa Cavin	<input type="checkbox"/> Mallory Marin	<input type="checkbox"/> Karen Miller	<input type="checkbox"/>
SJUSD/SCOE	<input checked="" type="checkbox"/> Wendy Jackson (v)	<input checked="" type="checkbox"/> James Berardi (v)	<input type="checkbox"/> Nona Griesert	<input type="checkbox"/>

Community Stakeholders

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Call to Order: 11:10am

1. Approval of Agenda Motion by D. Lerch; 2nd by K. Warren
Unanimously Approved

2. Approval of Minutes: [⌘]June 17, 2021 Motion by D. Lerch; 2nd by W. Jackson
Unanimously Approved

3. Public Comment – Public comment is allowed on any topic relevant to the FRAEC Board. Pursuant to Consortium Policy, comments are limited to 3 minutes per person. Please begin by stating your name.

4. Closed Session – Not Requested

5. Discussion Items

5.1 [⌘]Review of Fiscal Allocation Models, CAEP Fiscal Management Guide, Additional Funding Requests from PUSD and SPJUSD, NOVA Report of Available Funds, Q4 DIRs,

- [⌘]5.1a FRAEC NOVA Fiscal Report of Available Funds
- [⌘]5.1b CAEP Office Options for Unused Fund Re-Allocation
- [⌘]5.1c CAERC Fiscal Allocation Process 2015
- [⌘]5.1d SCAEC New Member Handbook
- [⌘]5.1e SAEC Member Handbook
- [⌘]5.1f CAEP Fiscal Management Guide
- [⌘]5.1g PUSD NRS Q4 DIR
- [⌘]5.1h PUSD DIR CAEP Summary
- [⌘]5.1i Sierra NRS Q4 DIR
- [⌘]5.1k PUSD Multiple Measures Spreadsheet
- [⌘]5.1l Feather River Adult School Budget Update and Request for Additional Allocation
- [⌘]5.1m Sierra Schools for Adults Budget Update and Request for Additional Allocation

The voting board reviewed all documents and discussed carry-over funds that resulted from COVID related program disruptions. K. Warren asked for a discussion around data and how MIS data is connected with DIR data. LaunchBoard was reviewed and technical issues discussed per the CAEP webinar on MIS from July 19, 2021. W. Jackson suggested sharing a currently employed academic advisor by both Plumas and Sierra adult school programs. K. Warren noted that B. Miller and K. Miller already provide these services so further discussion is needed as student populations increase. D. Lerch noted that this is a very poor year to make overall funding changes due to the COVID reductions to enrollment. K. Warren noted that everyone wants to grow programs, but we are limited by funding caps, and we need to have an adopted model. W. Jackson noted that the consortium doesn't need \$30K to run the consortium or \$54K for a director, so these funds should be redispersed to grow programs.

In leaning into a multiple-measures formula, the Board discussed the following elements:

Primary Considerations

Instructional Hours (CTE vs ABE/HSE/HSD) – NOVA Instructional Hours Report
 Total County Population – ACS/Census

Secondary Considerations

Poverty Rate (includes Free and Reduced Lunch) – ACS/Census
 ESL from K12 – District DataQuest
 Total Enrollment – NRS DIR

A new NRS DIR report was submitted from Plumas and is included with the minutes as an update to the Board packet.

<BREAK FOR LUNCH AND TO RESPOND TO EMAILS>

The following multiple measures formula was agreed upon by the voting board:

WORKING GROUP WORKING SPREADSHEET						
Member	INSTRUCTIONAL HOURS	POPULATION	POVERTY RATE%	ESL % OF K12	TOTAL ENROLLMENT	
FRC						
PUSD	14510	18699	11.70	3.00	194	
SPJUSD	21992	2930	8.30	2.00	76	
SUM	36502	21629	20.0000	5	270	
PUSD%	40%	86%	59%	60%	72%	
SPJUSD%	60%	13.55%	41.50%	40%	28%	
						Total percentages
	0.25	0.25	0.17	0.17	0.17	1.00
PUSD	0.10	0.22	0.10	0.10	0.12	0.63
SPJUSD	0.15	0.03	0.07	0.07	0.05	0.37

- 5.2 ¶Review of 2021-2022 Annual Plan
- 5.3 ¶Review of Revised CFAD Allocations with COLA
 - ¶5.3a Revised CFAD 2021-22
 - ¶5.3b 2021-22 Final CAEP Allocations
 - ¶5.3c CAEP Allocation Guidance Memo
- 5.4 ¶Review Updated Governance Plan (Amended to Reflect Direct Funding Model)
- 5.5 ¶Review 2021-2022 MOU (Amended per Discussion Item 5.1 and Direct Funding Model)
- 5.6 ¶Brown Act Suspension – Currently Ending September 30, 2021

6. Action Items

6.1 Vote on Fiscal Allocation Model for Unspent Funds (Consortium Budget and Director Salary)

WORKING GROUP WORKING SPREADSHEET						
Member	INSTRUCTIONAL HOURS	POPULATION	POVERTY RATE%	ESL % OF K12	TOTAL ENROLLMENT	
FRC						
PUSD	14510	18699	11.70	3.00	194	
SPJUSD	21992	2930	8.30	2.00	76	
SUM	36502	21629	20.0000	5	270	
PUSD%	40%	86%	59%	60%	72%	
SPJUSD%	60%	13.55%	41.50%	40%	28%	
						Total percentages
	0.25	0.25	0.17	0.17	0.17	1.00
PUSD	0.10	0.22	0.10	0.10	0.12	0.63
SPJUSD	0.15	0.03	0.07	0.07	0.05	0.37

Motion to approve funding model as outlined in 5.1

Motion by W. Jackson; 2nd by D. Lerch

Unanimously Approved

6.2 Vote on 2021-2022 Annual Plan

Motion to approve 2021-2022 Annual Plan

Motion by J. Berardi; 2nd by D. Lerch

Unanimously Approved

6.3 Vote on Revised 2021-2022 CAEP Allocations with COLA

						
2021-22 Preliminary FRAEC Allocation		\$845,126				
2021-2022 Additional COLA		\$21,233				
2021-22 FINAL FRAEC ALLOCATION		\$866,359				
Agency	Current Program / Service	Initial 2021-2022 CFAD Allocation	% of Allocation	Additional COLA	REVISED 2021-22 ALLOCATION	
FRC	Go Teach / Jail Culinary Consortium Lead State Meetings	\$280,881				
TOTAL		\$280,881	33%	\$7,057	\$287,938	
PCOE - CONSORTIUM BUDGET/DIRECTOR	Consortium Lead Consortium Operations Set-Aside	\$55,260 \$30,000				
TOTAL		\$85,260	10%	\$2,142	\$87,402	
PUSD	Feather River Adult School COLA	\$246,550				
TOTAL		\$246,550	29%	\$6,194	\$252,744	
SCOE	Sierra County Schools for Adults COLA	\$232,435				
TOTAL		\$232,435	28%	\$5,840	\$238,275	
TOTAL		\$845,126	100%	\$21,233	\$866,359	

Motion to approve COLA based on revised state budget.

Motion by W. Jackson; 2nd by D. Lerch Unanimously Approved

Note: FRAEC Board will distribute unspent PCOE carry-over funds and 2021-2022 funds at the September 2021 Board meeting and reevaluate Consortium set-aside funds.

6.4 Vote on Updated Governance Plan – July 21, 2021

Motion to approve revised Governance Plan dated July 21, 2021.

Motion by D. Lerch; 2nd by J. Berardi Unanimously Approved

6.5 Vote on 2021-2022 MOU

Motion to approve the 2021-2022 MOU.

Motion by W. Jackson; 2nd by D. Lerch Unanimously Approved

7. Announcements

8.2 2021-2022 FRAEC Board Meetings (All meetings at 1:00 pm)

2021	2022
Wednesday July 17, 2021 - RETREAT	Thursday January 20, 2022
Thursday August 19, 2021	Thursday February 17, 2022
Friday September 17, 2021	Thursday March 17, 2022
Thursday October 21, 2021	Thursday April 21, 2022
Thursday November 18, 2021	Thursday May 19, 2022
Thursday December 16, 2021	Thursday June 16, 2022

8. Adjournment – 1:48 p.m.; Motion by J. Berardi; 2nd by D. Lerch



NRS Data Integrity

07/21/2021
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by Agency

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NRSDIR2

Agency: 7056 - Plumas Unified School District

Program Year: 2020-2021

Summary Information	Without PoP	2020-2021	PoP 1	PoP 2	PoP 3	PoP 4
Total WIOA Title II Learners	0	196	196	20	2	0
Learners Concurrently Enrolled in High School/K12	0	1	1	0	0	0
Total Learners eligible for WIOA Title II	0	195	195	20	2	0

Item	Description	Last PoP or Current Enrollment		PoP 1		PoP 2		PoP 3		PoP 4	
		#	%	#	%	#	%	#	%	#	%
01	Missing Birthdate or outside 16-110	1	1%								
02	Less than 12 Hours of Instruction	5	3%	24	12%	2	10%	0	0%	0	0%
02a	Zero or Empty Hours of Instruction	0	0%	19	10%	2	10%	0	0%	0	0%
02b	Total hours between 1-11 hours	5	3%	5	3%	0	0%	0	0%	0	0%
03	No Highest Year of School/Degree Earned	15	8%								
03a	No Highest Year of School	12	6%								
03b	No Highest Degree Earned	5	3%								
04	No Gender	0	0%								
05	No Race/Ethnicity	1	1%								
06	Total Reported Labor Force Status	119	61%								
06a	Total 'Employed'	65	33%								
06b	Total 'Employed with notice'	2	1%								
06c	Total 'Unemployed'	39	20%								
06d	Total 'Not in Labor Force'	13	7%								
06e	Total missing Labor Force Status	76	39%								
08	No valid pretest	120	62%	120	62%	1	5%	0	0%	0	0%
09	Valid pretest with no post-test	43	22%	52	27%	8	40%	0	0%	0	0%
09x	Valid pretest with no post-test in any area	72	37%	72	37%	18	90%	2	100%	0	0%
09a	Valid pretest with no post-test, excluding those who earned HSE/HSD	39	20%	45	23%	5	25%	0	0%	0	0%
09ax	Valid pretest with no post-test in any area, excluding those who earned HSE/HSD	51	26%	49	25%	13	65%	2	100%	0	0%
09b	No post-test and pretest below ASE High	32	16%	38	19%	4	20%	0	0%	0	0%
09bx	Post-test and pretest below ASE High in any area	52	27%	53	27%	10	50%	1	50%	0	0%
09c	Valid pretest with no post-test, excluding those with MSG	39	20%	45	23%	5	25%	0	0%	0	0%
09cx	Valid pretest with no post-test in any area, excluding those with MSG	49	25%	49	25%	10	50%	2	100%	0	0%
10a	Pre-/post-test pair in any area	15	8%	9	5%	8	40%	2	100%	0	0%
10b	Pre-/post-test pair in any area, and have not completed a level	9	5%	6	3%	4	20%	2	100%	0	0%
11a	Achieved Educational Functional Level Gain with pre- and post-testing	4	2%	1	1%	4	20%	0	0%	0	0%
11b	Achieved Educational Functional Level Gain with High School credits earned	16	8%	15	8%	1	5%	0	0%	0	0%
11c	Achieved Educational Functional Level Gain with Post-Secondary Outcome	0	0%	0	0%	0	0%	0	0%	0	0%
12a	Passed HSE	0	0%								
12b	Passed HSE but instructional program not HSE	0	0%								
12c	Passed HSE but Highest Degree Earned is HSE or higher	0	0%								
13a	Earned HS diploma	24	12%								
13b	Earned HS diploma but instructional program not HS diploma	0	0%								
14a	Only One Period of Participation	175	90%								
14b	More than One Period of Participation	20	10%								
14c	Continuous Period of Participation due to Retained in Program	8	4%	8	4%	0	0%	0	0%	0	0%
15a	With 90-97 days between Dates of Service	15	8%	9	5%	6	30%	0	0%	0	0%
15b	With 83-89 days between Dates of Service	0	0%	1	1%	1	5%	0	0%	0	0%
16	Enrolled in Integrated Education and Training (IET)	0	0%								
16a	Enrolled in IET or workplace literacy (VABE/VESL)	0	0%								
16b	Earned IET or workplace literacy MSG	0	0%	0	0%	0	0%	0	0%	0	0%



NRS Data Integrity

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by Agency

Page 2 of 2
NRSDIR2

Agency: 7056 - Plumas Unified School District

Program Year: 2020-2021

Item	Description	Last PoP or Current Enrollment		PoP 1		PoP 2		PoP 3		PoP 4	
		#	%	#	%	#	%	#	%	#	%
17	No Primary Goal	126	65%								
18	No Secondary Goal	145	74%								
19	At least one Barrier to Employment	77	39%								
19a	Multiple Barriers to Employment	35	18%								
19b	No Barriers to Employment	118	61%								
20	Co-enrolled in WIOA Titles I, III, or IV	2	1%								
21	Pretest in any area in the conservative estimate range	2	1%	4	2%	2	10%	0	0%	0	0%
22a	Pre-/post-test pair in any area but less than 40 hours of instruction	0	0%	1	1%	2	10%	0	0%	0	0%
22b	No pre-/post-test pair but 40+ hours of instruction	9	5%	4	2%	5	25%	0	0%	0	0%
22bx	Pre-/post-test pair in any area, with 40+ hours of instruction	20	10%	7	4%	11	55%	2	100%	0	0%
22c	No pre-/post-test pair but 70+ hours of instruction	5	3%	2	1%	3	15%	0	0%	0	0%
22cx	Pre-/post-test pair in any area with 70+ hours of instruction	15	8%	5	3%	8	40%	2	100%	0	0%

 Not Applicable

Criteria

Report data generated at 7/21/2021 9:37:03 AM
 Base container is: Agency 7056 - Plumas Unified School District
 Interrogation mode is: 'Data from sub-sites'
 Instructional Program: Basic Skills (ABE), ESL/ELL, High School Diploma, HSE, Career and Technical Education (CTE)
 Program year: 07/01/2020 - 06/30/2021
 Exclude learners with less than 12 hours: No
 Aggregate Multiple Agencies: No
 Include Prepared By: Yes
 Include Print Time: Yes
 Include Criteria Info: Yes
 Report Sort Order: Agency, Site
 Page Sort Order: Student, Drop Reason
 Include Address: No
 Report Style Option: Blue
 Reporting Level: Agency
 Agency Print Option: ID Name
 Site Print Option: ID Name
 Student Print Option: NameID
 Student Name Format: Last, First Middle
 Warn if too many pages: Yes
 Show only last PoP: No
 Show only multiple PoPs: No
 Show only PoPs with MSG: No
 Show only PoPs with drop reasons: No
 Show only absent for: N/A
 Show Percent Decimals: 0
 Show Test Pairs: Completed Level



CAEP State Priorities Defined

Equity - Equity is about being fair and impartial with learners, partners, and the communities we serve. Programs aligned to this area may focus on methods for building cultural awareness and responsiveness, addressing equity in the classroom, addressing the achievement gap, or creating access, success, and transfer opportunities for historically disadvantage populations.

Leadership – Leadership deals with important things leaders need to know about successfully managing a consortium or managing an adult education K-12 Adult or noncredit agency. Programs aligned to this area might focus on regional and local policies, developing systems, supervision and management of staff, organizational change, leveraging resources, or capacity building.

Learner Transition – Moving learners along in educational, career, or other paths is one measure of how we determine success. Programs aligned to this area may focus on college and career pathways, transition supports, or counseling and support services. Some examples include short-term CTE, integrated education and training, pre-apprenticeship, bridges and boot camps, and mirrored courses.

Marketing - Marketing programs and learner recruitment are ongoing responsibilities for consortium members. It is also vital that local communities understand and support regional consortia. Programs aligned to this area should share successful marketing strategies and practices, such as employing social media in marketing, budgeting for marketing, using data to target marketing efforts, or strategies for keeping marketing current and effective.

Program Development/Curriculum/Classroom - Constant program improvement is at the core of building stronger and relevant consortia and agencies. Programs in this area could focus on any of the approved CAEP program areas, annual and 3-year planning, building partnerships, leveraging funding, or implementing specialized programs, such as programs built around the immigrant integration framework.

Program Evaluation – Ongoing assessment of programs is at the core of building stronger and relevant consortia and agencies. Programs aligned to this area could focus on using data to inform consortia annual and 3-year planning, programming, and instruction, evaluation design, or engaging stakeholders in the evaluation process.



Technology and Distance Learning – This state priority focuses on the integration of technology into the educational and work environments as well as the implementation of blended or distance learning programs. Programs aligned to this area might include anything relating to reaching and serving adult learners at a distance, whether synchronously or asynchronously. Programs might also relate to a technology goal(s) that agencies or consortium have identified and implemented.



Three-Year Plan

2022-2025

An opportunity to collaborate with all member agencies and use data to inform goal setting and strategies.

Objective #1

Assess the impact of services provided over the previous period.

Objective #2

Define strategies and activities to meet needs.

Objective #3

Identify educational and workforce needs among beneficiaries and providers in the region.

2022-23

Implement three-year plan

Create annual plan strategies

Identify plans of action to increase student outcomes

Select annual plan strategies for work plan

Budget available funds to address work plan strategies

2023-24

Evaluate outcomes and previous year's strategies

Re-assess current three-year; adjust as needed

Generate annual plan strategies

Identify plans of action to increase student outcomes

Budget available funds to address work plan strategies

2024-25

Evaluate outcomes and last two year's strategies

Generate annual plan strategies

Identify plans of action to increase student outcomes

Budget available funds to address work plan strategies

Start mapping the next three-year plan

Professional Development

Offerings Fall 2021
Check caladulthood.org/events

OVERVIEW

The California Adult Education Program (CAEP) three-year planning process is designed to provide consortia and consortium members a chance to (1) collectively assess the impact of services provided over the previous period, (2) identify educational and workforce needs among adult education beneficiaries and providers in the region, and (3) define strategies and activities to meet these needs over the coming three years. The planning process presents an opportunity to evaluate the current status of adult education and workforce services, which can promote stronger collaboration among agencies and deeper connections to students and communities.

This document provides guidance and establishes procedures to complete and submit a CAEP adult education consortium's required three-year regional plan using the California Community College Chancellor's Office (CCCCO) NOVA online platform. Sections of this document correspond to sections of the three-year plan as it appears in NOVA and describe the content that must be included in the consortium's response per California Education Code. This document provides relevant definitions, resources, upcoming training, and guiding questions to inform completion of each section.

The CAEP three-year plan does not stand alone. It should reference other regional and member agency plans, and it will be reflected in the later development of the consortium annual plan and member work plans and budgets. Rather than duplicate planning efforts, consortia are encouraged to explicitly reference existing plans, such as the WIOA Title II Continuous Improvement Plan (CIP), WIOA Title I Local and Regional Plans, Perkins Local Applications, Strong Workforce Program (SWP) Regional Plans, and others.

THREE-YEAR PLANNING PROCESS

The CAEP three-year planning process is meant to be inclusive and collaborative among entities that provide education and workforce services for adults in a region. Definitions are provided in California Education Code of an adult education region (§84903) and an adult education consortium, its members, and other entities that provide education and workforce services for adults (§84905). To develop the three-year plan, adult education consortium members will contribute data, consider input from other entities, determine the implications of this data and input for future adult education programs and services, and chart a path forward. The plan will then serve as a guide for the consortium and its members over the three-year period. *In fact, although the plan is a requirement of all recipients of CAEP funding, the primary intended audience of the plan is the consortium members themselves.*

The three-year plan is organized around five elements of effective planning:

Section	Instructions
Section 2: Assessment	Gather data needed to describe existing adult education services, barriers, and needs; then evaluate both the needs for and the current

	levels and types of education and workforce services in the region to identify gaps in current services
Section 3: Metrics	Identify barriers and metrics to be addressed by the consortium and specific targets to be reached
Section 4: Objectives	Define the consortium's strategies to address the barriers and metrics
Section 5: Activities & Outcomes	Define the consortium's activities and their intended outcomes related to these strategies
Section 6: Funds Evaluation	Describe how the consortium's available funds will be leveraged to impact the barriers and metrics identified in the plan

With the targets, strategies, activities, and intended outcomes defined in the three-year plan, the consortium will be prepared to report on progress using CASAS TOPSPro Enterprise and Chancellor's Office Management Information System (COMIS): Datamart, and to use the Adult Education Pipeline Dashboard (LaunchBoard) to continuously evaluate progress and revisit strategies and activities as needed to achieve targets. The three-year plan's consortium-level and member-level goals and targets will be referenced in the later development of annual plans and member work plans and budgets. For a graphic of the three-year planning and reporting cycle, please [visit this link](#).

If you have any questions regarding this information or the process of three-year plan, annual plan, work plan or budget development, please contact the CAEP Technical Assistance Project (TAP) at tap@caladulthood.org.

SUBMISSION FORMAT AND TIMELINE

The three-year plan will be submitted using the NOVA online system. The 2022-2025 Three-Year Plan area of NOVA will become available to a consortium when its 2022-23 allocations have been posted, by February 28, 2022. To request an account or login credentials for the system, please visit the [NOVA login page](#).

A template has been provided for consortia to use in organizing their three-year plan content prior to submission in NOVA. [\[link to template\]](#)

Final plans must be submitted and approved by member representatives in NOVA no later than **June 20, 2022**. Following approval, consortia will update their three-year plans through the annual plan process in NOVA.

For information about upcoming webinars on preparing and submitting the three-year plan, please visit the [CAEP TAP events page](#) and look out for announcements in the CAEP Newsletter and in direct emails to consortium directors and co-leads.

OBJECTIVES AND PROGRAM AREAS

CAEP allocates funds to regional consortia composed of K-12 adult schools, community college districts, county offices of education, and other regional providers of adult education programs and services. Regional collaboration provides capacity for the K-12 and community college adult education programs to find common ground and cross historical geographic and cultural boundaries to provide adult learners more robust education and training opportunities, and to expand and improve the quality and reach of adult education as evinced, ultimately, by (A) improved literacy skills, (B) immigrant integration, (C) completion of high school diplomas or their recognized equivalents, (D) completion of postsecondary certificates, degrees, or training programs, (E) placement into jobs, and (F) improved wages. Plans should consider the full range of services required to achieve these outcomes. Proposed strategies and activities should leverage shared resources and promising practices to hasten student progress toward their academic and professional goals, and to promote seamless transitions across educational segments and into the workforce.

Based on their assessment of regional need, consortia may develop programs in any of the following allowable areas:

1. Programs in elementary and secondary skills, including those leading to a high school diploma or high school equivalency certificate.
2. Programs for immigrants in citizenship, ESL, and workforce preparation.
3. Programs for adults, including, but not limited to, older adults, that are primarily related to entry or reentry into the workforce.
4. Programs for adults, including, but not limited to, older adults, that are primarily designed to develop knowledge and skills to assist elementary and secondary school children to succeed academically in school.
5. Programs for adults with disabilities.
6. Programs in career technical education that are short term in nature with high employment potential.
7. Programs offering pre-apprenticeship training conducted in coordination with one or more apprenticeship programs approved by the Division of Apprenticeship Standards.

DEVELOPING YOUR THREE-YEAR PLAN CONTENT

This section of the three-year plan guidance provides a step-by-step guide to developing content for the three-year plan. Use this guide to complete the three-year plan template, which will then be used to enter the final plan content into NOVA.

For general planning resources, click [here](#).

Section 1: Consortium Details

In this section, information about the consortium, its primary and fiscal contacts, and CAEP funds received is auto-populated.

Provide an Executive Summary of the three-year plan (up to 5000 characters). In the Executive Summary, please include:

- Summary of consortium members and participants in three-year planning
- Brief description of needs identified in the Assessment (Section 2)
- Brief description of Metrics selected and included in the three-year plan (Section 3)
- Brief description of Objectives, Activities, and Outcomes included in the plan (Sections 4 and 5)
- Brief summary of the Funds Evaluation included in the plan (Section 6)
- At the end of this section, attest to several Assurances.

Section 2: Assessment

Gather data needed to describe existing adult education services, barriers, and needs. Evaluate both the needs for and the current levels and types of education and workforce services in the region, to identify gaps in current services.

For definitions and resources related to Section 2: Assessment, click [here](#)

Overview and Preparation (up to 5000 characters)

Provide a narrative description of the Assessment that was conducted by the consortium. The description should include:

- The consortium's overall approach to assessment
- The process that was undertaken to assess educational needs, regional alignment, and current levels and types of education and workforce services
- The data sources that were referenced and that contributed to the consortium's understanding of needs, alignment, and current levels and types of services

Regional Alignment and Priorities (up to 5000 characters)

Provide a description of the alignment of adult education and workforce services supported by CAEP with those described in other education and workforce plans guiding services in the region, including plans pertaining to the building of career pathways and the employment of workforce sector strategies and those required pursuant to WIOA. The description should include:

- Names of relevant education and workforce plans guiding services in the region, and the consortium members or other entities that participated in developing these plans
- Summary of the adult education and workforce services described in each of these plans
- How adult education and workforce services supported by CAEP are currently aligned with priorities and services described in each of these plans

- Identified gaps in alignment of adult education and workforce services across CAEP and these other plans.
- Note that the regions referenced in the relevant plans may be defined by boundaries different from but overlapping with the consortium’s adult education region.

Evaluate the Educational Needs of Adults in the Region (up to 5000 characters)

Describe and evaluate the educational needs of adults in the region. To *describe* the educational needs, conduct a review of data on the region’s adult population. (Guiding questions and resources are provided below to assist with this review; they need not be responded to specifically in your response.) To *evaluate* the educational needs, critically review this data to determine its significance for the consortium’s adult education services. The description and evaluation should include:

- Summary of key data points identified by the consortium to be indicators of educational needs
- Implications of these key data points and educational needs for the consortium’s prioritization of adult education services and dedication of resources.

Guiding Questions and Resources

Guiding Questions	Data Sources and Other Resources
<p><i>Who are our <u>current customers</u>?</i></p> <p><i>Where do they live?</i></p> <p><i>What characteristics define the populations engaged in the programs we currently offer?</i></p> <p><i>How many and what type of students are in which programs?</i></p>	<p>Adult Education Pipeline - LaunchBoard (see Appendix A for resources)</p>
<p><i>What characteristics define the <u>regional community</u>?</i></p> <p><i>How do those align with profiles of students currently served by adult education programs?</i></p> <p><i>Who is not being served by adult education and should be served?</i></p> <p><i>What characteristics might be barriers to students coming to programs? (i.e. lack of public transportation; times and days of classes, etc.)</i></p>	<p>CAEP Fact Sheets (see Appendix A)</p> <p>U.S. Census</p> <p>U.S. Census Income and Poverty tool</p> <p>PIAAC Skills Map</p> <p>Table of Consortia Counties and Associated Living Wage</p>

<p><i>What characteristics might be barriers to employment in certain areas of the region or for certain populations?</i></p>	
<p><i>What <u>industries</u> is the region home to?</i></p> <p><i>What kind of skills are they looking for in their employees?</i></p> <p><i>What kinds of credentials do they value?</i></p> <p><i>Are there new industries expected to be moving into the region?</i></p>	<p>CCCCO Centers of Excellence LMI reports and supply/demand tools</p> <p>CA Workforce Development Board (CWDB) 2020-2023 State Plan</p> <p>Local Workforce Development Boards (LWDBs) local and regional plans</p> <p>Strong Workforce Program (SWP) regional plans</p> <p>Understanding Labor Market Information Resources</p> <p>Adult Education Pipeline - LaunchBoard (see Appendix A for resources)</p>
<p><i>What <u>outcomes</u> do our current students achieve?</i></p> <p><i>How do student outcomes compare across different student populations and programs?</i></p> <p><i>Are particular demographic groups achieving outcomes at higher rates than others?</i></p> <p><i>How do our outcomes compare to state averages?</i></p> <p><i>Are graduates earning a living wage for the region?</i></p>	<p>CASAS Data Portal and TE accountability reports (see Appendix B for resources)</p> <p>CASAS Employment and Earnings Survey</p> <p>Adult Education Pipeline - LaunchBoard (see Appendix A for resources)</p>
<p><i>What <u>needs and goals</u> of students and area employers should be addressed by adult education?</i></p> <p><i>What needs and goals of students and area employers are currently unmet?</i></p>	<p>WIOA Title II CIP</p> <p>WIOA Program Implementation Survey</p> <p>Perkins Comprehensive Local Needs Assessment</p> <p>CASAS Employment and Earnings Survey</p> <p>Student Technology Intake Survey</p>

	<p>EL Civics Needs Assessment: Overview slides and description of requirements</p> <p>WestEd Opportunity Maps (see Appendix A)</p> <p>Regional Education to Workforce Dashboard</p>
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Contributions by Entities (up to 2500 characters)

Describe the ways in which each consortium member or partner contributed to the development of the three-year plan. Per California Education Code §84905(d)(1)(E), the consortium should have considered input provided by pupils, teachers employed by local educational agencies, community college faculty, principals, administrators, classified staff, and the local bargaining units of the school districts and community college districts. The description should include:

- List of all consortium members and partners who contributed
- Brief description of the ways each member or partner contributed (eg., participated in planning meetings, provided data, designed proposed activities, proposed staff collaboration in activities)

Regional Service Providers: Participants by Program Area

Review auto-populated information about CAEP-funded adult education providers in the region and the number of Participants served in each program area in which the provider offers instruction.

Click on “+Add Service Provider” to add the names of any non-CAEP-funded adult education providers in the region. Check the box corresponding to each of the program areas in which the non-CAEP-funded provider offers instruction.

Evaluate the Current Levels and Types of Education and Workforce Services for Adults in the Region (up to 2500 characters)

Describe and evaluate existing education and workforce services for adults among consortium members. To *describe* the levels and types of services, conduct a review of data on the consortium’s education and workforce services. To *evaluate* the levels and types of service, critically review this data to determine whether it is sufficient to address the educational needs in the region, or where there are gaps in current services. Your evaluation should take into consideration recent events that may have affected the consortium’s ability to address educational needs or otherwise widened these gaps. (For example, the COVID-19 pandemic resulted in lay-offs in the retail and hospitality sectors that may have increased the needs for adult education.) The description and evaluation should include:

- The levels of education and workforce services currently offered by the consortium

- The types of education and workforce services currently offered by the consortium
- An evaluation of whether the existing levels and types of services address the educational needs identified in Section 2: Assessment, or where there are gaps.

Guiding Questions and Resources

Guiding Questions	Data Sources and Other Resources
<p><i>What levels and types of adult education services do consortium members and partners provide?</i></p>	<p>Adult Education Pipeline - LaunchBoard (see Appendix A for resources)</p> <p>Opportunity Maps (see Appendix A)</p> <p>Regional Education to Workforce Dashboard</p> <p>CASAS Data Portal and TE accountability reports (see Appendix B for resources)</p> <p>ELL Pilot grantees</p> <p>Eligible Training Provider List (ETPL)</p> <p>Community Asset Mapping webinar and slides</p>
<p><i>To what extent do the existing levels and types of services address the educational needs identified in Section 2: Assessment?</i></p> <p><i>What needs and goals of students and area employers should be addressed by adult education?</i></p> <p><i>What are gaps, or unmet needs, in the existing levels and types of services?</i></p>	<p>WIOA Title II CIP</p> <p>WIOA Program Implementation Survey</p> <p>CASAS Employment and Earnings Survey</p> <p>Student Technology Intake Survey</p> <p>EL Civics Needs Assessment: Overview slides and description of requirements</p> <p>Regional Education to Workforce Dashboard</p>

Section 3: Metrics

For definitions and resources related to Section 3: Metrics, click [here](#)

CAEP Barriers and Metrics

Select from drop-down menus *up to ten* CAEP Student Barriers and Metrics that are relevant for the consortium. These barriers and metrics will be used to track progress and outcomes related to the strategies and activities defined in this three-year plan, at the consortium and/or member level, as appropriate.

Of the four student barriers listed (English Language Learner, Low Literacy, Low Income, Long Term Unemployed), select at least one. Additional barriers may be selected.

Of the metrics that are listed, two are required to be tracked by all consortia: percentage of available funds spent, and number of adults served who became participants. Additional metrics may be selected.

For each of the metrics selected in this section, define targets in the section below, which will in turn inform the definition of strategies, activities, and intended outcomes in the sections that follow. Over the coming three years, the consortium will track these outcomes using TE or COMIS.

Guiding Questions and Resources

Guiding Questions	Data Sources and Other Resources
<p><i>Which barriers and metrics should we track to best measure the impact of our consortium's adult education services?</i></p>	<p>Adult Education Pipeline - LaunchBoard (see Appendix A for resources)</p> <p>CAEP Three-Year Plan NOVA Metrics: Adult Education Pipeline Dashboard Map</p> <p>Adult Education Pipeline Statewide Numbers and Averages (April 2021)</p> <p>CASAS Data Portal and TE accountability reports (see Appendix B for resources)</p> <p>CASAS Data Dive webinar series: I.CAEP outcomes II.Barriers and equity III.Performance goals</p> <p>CASAS Immigrant Integration Indicators webinar (recording and slides)</p>

Consortium Level Metric Targets

The Number of Adults Served by the consortium in 2019-20, 2020-21, and 2021-22 is imported from the LaunchBoard Adult Education Pipeline and appears in the first row of the table. This is a required metric. Add 2022-23, 2023-24, and 2024-25 Targets for the Number of Adults Served.

In the subsequent rows of the table in this section, the consortium's 2019-20, 2020-21, and 2021-22 Actuals are populated for each of the Barriers and Metrics that were selected above. Add 2022-23, 2023-24, and 2024-25 Targets for each of these Barriers and Metrics. Enter each of these Targets as a percentage of the total Number of Adults Served for each year.

There are no standard expectations regarding consortium targets. Define targets that are specific to the consortium, with reference to consortium baselines, the educational needs identified in the assessment, and statewide averages, as appropriate. Targets should reflect the strategies and activities proposed in the plan that are intended to impact the selected metric or barrier. Targets will be used to review the consortium's annual progress and to inform adjustments to programs and services.

Member Districts Level Metric Targets

The table in this section includes information about each of the member districts in your consortium, by member district.

The Percent of Available Funds Spent in 2017-18, 2018-19, and 2019-20, imported from NOVA, is in the first row. This is a required metric. Add 2020-21, 2021-22, and 2022-23 Targets for each member district's Percent of Available Funds Spent.

The number of Adults Served Who Became Participants in 2017-18, 2018-19, and 2019-20, imported from the LaunchBoard Adult Education Pipeline, is in the second row. This is a required metric. Add 2020-21, 2021-22, and 2022-23 Targets for each member district's number of Adults Served Who Become Participants.

Actuals for 2017-18, 2018-19, and 2019-20, populated for each of the optional Metrics selected above and imported from the LaunchBoard Adult Education Pipeline, are found in subsequent rows. Add 2020-21, 2021-22, and 2022-23 Targets for each of these Metrics, for each member district. Enter each of these Targets either as a total number of participants or as a percentage of the total Adults Served Who Become Participants for each year.

There are no standard expectations regarding member district level targets. Each member should define targets that are specific to the agency or district, with reference to agency, district, and consortium baselines, the educational needs identified in the assessment, and statewide averages, as appropriate. The targets will be used to review members' annual progress and to inform adjustments to programs and services.

NOTE: Members that receive CAEP funds that offer counseling and other student services but not instruction should identify targets related to their activities' impact on student persistence, progress, and success.

Section 4. Objectives

Describe strategies that the members of the consortium and others impacted by or interested in the provision of education and workforce services to adults in the region will take to:

1. Address Educational Needs
2. Improve Integration of Services and Transitions
3. Improve Effectiveness of Services

For each of the three Objectives, the description should include:

- Strategies that will be used to achieve this Objective
- Educational needs, barriers, and gaps in current education and workforce services that will be addressed by strategies under this Objective

For definitions and resources related to Section 4: Objectives, click [here](#)

Objective 1: Address Educational Needs (up to 2500 characters)

Describe strategies the plan will support to address the educational needs identified in Section 2: Assessment.

For example, educational needs addressed under Objective 1 could include but are not limited to: under-enrollment of priority adult populations, inadequate CTE offerings to address employer hiring demand, adult education offerings not aligned with levels of need, insufficient counseling support for student goal-setting, etc...

Strategies to address educational needs could include, for example, but are not limited to: an outreach and enrollment campaign, expansion of intermediate/high level ESL classes, new CTE programs to address needs of regional healthcare employers, expansion of counseling for new students, etc...

Guiding Questions and Resources

Guiding Questions	Resources
<i>What educational needs identified in the assessment will the consortium address?</i>	Section 2: Assessment
<i>What strategies proposed by the consortium will address these educational needs?</i>	Strategies to address student persistence named in the WIOA II Program Implementation Survey
<i>How will the members ensure access to all adults needing services in the region?</i>	Hanover Research Equity Toolkit
<i>What levels and types of instruction will be offered to address educational needs?</i>	Hanover Research Best Practices in Addressing Digital Divides
<i>What support services will be offered to support entry, progress, and retention?</i>	Upskilling Adult Workers with Disabilities
<i>How will programs respond to changes in the labor market and employers' needs?</i>	Proactive CAEP Outreach resources
	Advancing CA Adult Education: Model Programs
	Advancing CA Adult Education: Research & Practice
	CAEP Practices with Promise site
	Connect with a subject matter expert or a community of practice

Objective 2: Improve Integration of Services and Transitions (up to 2500 characters)

Describe strategies to improve (1) integration of services and (2) transitions into postsecondary education and the workforce.

Consortia may choose to use — but are not limited to — strategies to improve integration of services that are named in [California Education Code 84906](#), which include:

- Recruitment and placement of individuals into adult education programs
- Alignment of academic standards and curriculum across entities
- Qualifications of instructors, including common standards across entities
- Collection and availability of data across entities

The [WIOA II Program Implementation Survey](#) names several strategies that may be used by agencies and consortia to address needs related to integration of services, such as co-located classes and bridge programs; and to address needs related to transition, such as transition specialist/navigator guidance, industry partnerships, field trips and guest speakers, and apprenticeships.

Guiding Questions and Resources

Guiding Questions	Resources
<p><i>What needs have been identified related to improving the integration of services?</i></p> <p><i>What needs have been identified related to improving student transitions?</i></p>	<p>Section 2: Assessment</p>
<p><i>How will members and partners coordinate programs to eliminate duplication and maximize program potential?</i></p> <p><i>How will members ensure that adults can transition from a program or service to other appropriate programs and services?</i></p> <p><i>What strategies proposed by the consortium will address the identified needs related to integration of services and transitions?</i></p> <p><i>What levels and types of instruction will be offered to support student transitions?</i></p> <p><i>What support services will be offered to support student transitions?</i></p>	<p>Effective Practices - Transitions brief, slides and recording</p> <p>Effective Practices - Immigrant Integration brief, slides and recording</p> <p>Hanover Research Best Practices in Career Services</p> <p>Hanover Research Best Practices in Guided Pathways</p> <p>Designing and Delivering Career Pathways at Community College</p> <p>Framework for a High-Quality Pre-Apprenticeship Program</p> <p>Using Pre-Apprenticeship Programs to Connect to Registered Apprenticeship</p> <p>ELL Pilots Portal</p> <p>IET and IELCE resources: CalPRO IET Video Library, LINCS IET and IELCE resources, Penn State IET Initiative Library, Planning and Implementing a New IELCE IET Program</p> <p>EL Civics Basics: Civic Participation and IELCE Requirements</p> <p>Student Transition Webinars and Resources</p> <p>The Education to Workforce Dashboard, and slides and recording on using the Dashboard to clarify education to workforce pathways</p>

Objective 3: Improve Effectiveness of Services (up to 2500 characters)

Describe strategies to improve the effectiveness of the consortium and its services.

For example, strategies to improve consortium and member effectiveness may include but are not limited to: improving data collection and use to inform change, providing or accessing professional development, using and responding to the Self-Assessment tool, conducting planning, refining consortium operations, facilitating continuous improvement, etc....

Also, the [WIOA II Program Implementation Survey](#) names several strategies that may be used by agencies and consortia to address needs related to consortium and member effectiveness, such as shifting staffing, growing online classes, redistributing resources, refining data collection practices, and providing targeted professional development for administrators and instructors.

Guiding Questions and Resources

Guiding Questions	Resources
<i>What needs have been identified related to improving the effectiveness of services?</i>	Section 2: Assessment
<i>What strategies and activities proposed by the consortium will address these needs related to improving the effectiveness of services?</i>	Consortium Program Quality Self-Assessment WIOA II Teacher Self-Assessment WIOA II Administrator Self-Assessment Hanover Research Best Practices for Community College Data Management WIOA Title II CIP WASC accreditation application

Section 5: Activities & Outcomes

Create a plan to implement the strategies named in Section 4 by defining activities and intended short-term, intermediate, and long-term outcomes. Activities may be proposed at the consortium or the individual district or agency level. Definition of long-term outcomes beyond three years is optional, as this is outside of the scope of the three-year plan but may be helpful for consortium planning.

The activities and outcomes described in Section 5 are essentially the elements of a *logic model* for the consortium's work over the coming three years, although they will not be entered in

NOVA in a traditional logic model format. Several resources related to logic model development are provided at the “definitions and resources” link below. A logic model can provide your consortium with a guide to the process you intend to undertake and the results you hope to see during the three-year period.

Consortium annual plans and member work plans, and budgets will reference the activities and outcomes proposed in the three-year plan. Activities and outcomes may be adjusted in future annual plans.

Consortia and member districts are encouraged to consider ways in which use of CAEP, OTAN, CalPRO, CASAS, WestEd and other professional development and technical assistance resources (eg., training, institutes, peer mentoring, conferences, self-paced modules, instructional materials, statewide and regional network meetings, online resources) may be used to carry out proposed strategies and activities and achieve outcomes. To access upcoming and past training and professional development, visit the [California Adult Education Professional Development website](#).

For definitions and resources related to Section 5: Activities & Outcomes, click [here](#)

Activity Name, and Objective that Applies to this Activity

Use the “+ New Activity” button at the bottom of the page to add each Activity proposed by the consortium to address the three Objectives in Section 4: Objectives. For each Activity, I provide:

- Activity Name
- Objective that Applies to this Activity (dropdown selection)

Brief Description of Activity and Significance of Activity to Outcome (up to 5000 characters)

For each Activity, provide a Brief Description of Activity and Significance of Activity to Outcome. The description should include:

- The activity that will be carried out
- What agencies or individuals will carry out the activity
- Key deliverables
- How the activity will contribute to achieving short-term, intermediate, and long-term outcomes
- How the activity will contribute to achieving one or more of the three CAEP objectives
- How the activity will contribute to addressing and achieving targets related to the selected Student Barriers and adult education Metrics

Short-Term, Intermediate, and Long-term Outcomes (each up to 500 characters)

Identify the Outcomes that the activity will accomplish in the next year (Short-Term), in one to three years (Intermediate), and in three to five years (Long-Term). The brief description of each of these three types of outcomes should include one or more outcomes that are specific, measurable, achievable, relevant, and time-bound (SMART). Definition of long-term outcomes beyond three years is optional, as this is outside of the scope of the three-year plan but may be helpful for consortium planning.

Adult Education Metrics and Student Barriers

Select from a drop-down menu one or more Adult Education Metrics and Student Barriers that align with the proposed activity. The drop-down menu will include all Adult Education Metrics and Student Barriers that you selected in Section 3: Metrics.

Responsible Position(s), and Proposed Completion Date

Provide the name(s) of the position(s) holding primary responsibility for the activity's implementation and oversight. Use the "+Add Responsible Position" button to add as many positions as needed. Provide the job title of the position(s) holding primary responsibility for the activity's implementation and oversight.

Indicate the proposed completion date for the activity. This may include any date within the three-year plan term.

Section 6: Funds Evaluation (up to 2500 characters)

Evaluate the funds reported for 19-20 by each consortium member as part of the Program Area Reporting exercise in NOVA.

Auto-populated information about each member agency's Prior Year Total Leveraged Funds and Program Reporting Status is provided. Program Area Reports are included as hyperlinks.

To *evaluate* the funds available, critically review this data on prior year leveraged funds to anticipate and assess how well the available funds will address the educational needs of adults in the region over the coming three years. The evaluation should include:

- Overview of how the adult education funds referenced in the NOVA Program Area Reporting will be allocated over the coming three years (including remaining carryover funds from prior years)
- Other resources not reported into NOVA that will be available to consortium members and partners to carry out the collaborative activities described in this three-year plan
- How CAEP and other funds will be leveraged to implement the strategies and activities and achieve the outcomes described in this three-year plan

For definitions and resources related to Section 6: Funds Evaluation, click [here](#)

Guiding Questions and Resources

Guiding Questions	Resources
<p><i>What funds will be available to consortium members and other entities for the strategies and activities described in Sections 4 and 5?</i></p> <p><i>How will funds be braided and leveraged to address the needs and implement the strategies identified in this three-year plan?</i></p>	<p>Documentation of regional allocations of:</p> <ul style="list-style-type: none"> ● WIOA Title II ● Perkins ● Local Control Funding Formula (LCFF) ● CalWORKS ● community college noncredit apportionment ● Jail Education (K-12) ● Other funding

Section 7: Preview & Submit

Review the content of each prior section. To edit any of the content, click on the section name in the workforce table to be taken to an editable version of that section. When satisfied with all the content in the three-year plan, click Submit to submit the plan for review by consortium members and for completeness by CAEP TAP. Once the plan is submitted, member representatives will be notified via email to review and approve the plan.

APPENDIX A: Adult Education Pipeline Resources

The Adult Education Pipeline Dashboard

The Adult Education Pipeline Dashboard displays California adult education data by bringing together K12 adult education data recorded in CASAS TOPSpro Enterprise with noncredit community college data. The data on this dashboard will populate the three-year plan on the NOVA platform.

- Access the dashboard here (no login needed):
<https://www.calpassplus.org/LaunchBoard/Adult-Education-Pipeline.aspx>

Using the Adult Education Pipeline for 3 Year Planning

- ***Understanding and Using the Adult Education Pipeline Dashboard for Continuous Improvement*** ([Slides](#) and [Recording](#)) provides a general overview and walkthrough of the dashboard. **Use this resource** to get a quick overview of the dashboard and ways to access and understand data needed for 3-Year planning.
- ***Three Year Planning Using the Adult Education Pipeline Dashboard*** ([Slides](#) and [Recording](#)) provides an overview of the Goal Setting and Target metrics as they relate to the dashboard, key definitions, data tips, and consortia examples of using the dashboard for needs assessment and planning. **Use this resource** to get acquainted with the key metrics for 3-year planning and to get concrete examples of how consortia have used the dashboard to inform planning and decision making.
- ***Adult Education Pipeline Metrics for 3-Year Planning Cheat Sheet*** offers an at-a-glance guide to the Goal Setting and Target metrics on Nova. **Use this resource** to quickly identify the Goal Setting and Target metrics on Nova and where this can be found on the dashboard.
- ***CAEP Fact Sheets (coming soon on the CAEP website)*** is an interactive dashboard that provides consortium-level information on local demographics, labor market information, and related Adult Education Pipeline dashboard data in a unified platform. **Use this resource** to access and compare key data to inform assessment, identify opportunities based on populations in need, and understand economic and pathways opportunities that work for the local context.
- ***CAEP Fact Sheets Guide (coming soon on the CAEP website)*** offers an orientation to and tips for using the CAEP Fact Sheets for three-year planning. **Use this resource** to dig into the CAEP Fact Sheets to access up-to-date consortium-level data and learn how to ask good questions that will help consortium members identify goals and targets, such as how to identify underserved populations or those most in need of adult education services, which services might be most needed, and are there target industries that offer potential for career pathways or CTE programming that offer learners access to living wage jobs.

- ***Using CAEP Fact Sheets to Identify Needs and Opportunities for Three-Year Planning Webinar (coming soon)*** introduces the Fact Sheets, accompanying resources, and available training as well as overview the CAEP Fact Sheets and primary uses for 3-year planning. **Use this resource** to gain a basic understanding of the CAEP Fact Sheets, accompanying resources, and how to use them for three-year planning and assessment.
- ***Opportunity Maps (coming soon on the CAEP website)*** highlight and demonstrate pathways from Adult Education to college and living wage employment. Opportunity Maps incorporate the underlying workforce skills taught for the most common Guided Pathways meta majors for every region of the state and draw clear connections between common adult education pathways and Guided Pathways. **Use this resource** to identify the role of adult education in supporting transitions to college, academic and occupational skills, transition and pathways that could be highlighted in programming planning, and target populations that can profit from these trajectories and opportunities.
- ***Opportunity Maps Guide (coming soon on the CAEP website)*** offers an orientation to and tips for using the Opportunity Maps for three-year planning. The Guide highlights the important role of adult education as a gateway to college and to living wage jobs and how to build bridges, services and partnerships that support transitions to college. **Use this resource** to identify pathways and strategies to support transitions to college, especially for groups like opportunity youth; integrate adult education with Guided Pathways; and identify opportunities to build regional sector partnerships and pathway systems that accelerate progression from adult education to college.
- ***Using Opportunity Maps to Inform 3-Year Planning Webinar (coming soon)*** introduces the Opportunity Maps, accompanying resources, and available training, as well as an overview of how to use the Opportunity Maps for three-year planning. **Use this resource** to gain a basic understanding of the Opportunity Maps, accompanying resources, training, and how to use them for three-year planning and assessment.
- ***[California Regional Education to Workforce Dashboard](#)*** is an interactive tool that identifies how regional educational offerings align with local labor market information. It provides information on adult education and credit programs and regional occupational openings, filtered by self-sufficiency wage standards and Centers of Excellence skill levels. **Use this resource** to identify opportunities to support local pathway development, viable occupations for adult learners, how to better track pathway data for CAEP students
- ***[California Regional Education to Workforce Dashboard User Guide](#)*** introduces the dashboard, providing background information and tips on navigating the dashboard and using this information to explore programming opportunities aligned to a region's needs. **Use this resource** to inform the three-year planning needs assessment by exploring alignment between local educational institutions, alignment between educational offerings and viable local occupations, skills needed by adult learners to access and achieve an occupational certificate that leads to local jobs, and opportunities to support local pathway development and collaboration.
- ***Education to Workforce Pathways: Smoothing the Route and Finding a Relevant Destination Webinar*** ([Slides](#) scroll to 3/10/21 webinar and [Recording](#)) provides a general

overview and walkthrough of the dashboard and addresses key elements and principles for career pathways planning. **Use this resource** to get a quick overview of the dashboard so that you can access and understand data needed for three-year planning and to prompt conversations about pathway planning that will support learners to access and be successful in integrated education and training opportunities.

- ***One-on-One Training and Professional Development Opportunities:*** Request a training that can be tailored to local regional, consortium, or institutional context and needs.
- ***[Adult Education Pipeline FAQ](#)*** provides answers to commonly asked questions about the dashboard.

Email launchboard@cccco.edu with any questions about the Adult Education Pipeline dashboard or the data that populates the dashboard.

Additional Adult Education Pipeline Dashboard Resources

- ***The [Adult Education Pipeline Metric Definition Dictionary](#)*** outlines how data is collected, and which data elements are used to populate the AEP dashboard.
- ***What's Noncredit Coding Got to Do with It: Getting the Most Out of Your Data*** ([Link to Slides](#) and [Link to Recording](#)) provides more information about data from the California Community College Chancellor's Office Management Information System (MIS).
- ***Why Do My Data Reports Look Different in Adult Education Pipeline Dashboard and CASAS TopsPro Enterprise*** ([Link to Slides](#) and [Link to Recording](#)) addresses the differences between the CASAS TE CAEP Summary Report and the AEP Dashboard data.

APPENDIX B: CAEP Data Summary and CASAS Resources

TOPSPro Enterprise Reports

TOPSPro Enterprise has numerous reports for meeting state and federal guidelines to inform instruction. The following is a short list of some reports that may assist agencies in meeting goals, with links to PDFs of samples of each report included in the respective lists.

CAEP Reports

- CAEP Summary
- CAEP Data Integrity Report
- Enrollees by Hours
- Services Enrollees by Hours
- CAEP Barriers to Employment
- CAEP Outcomes
- CAEP Services

https://www.casas.org/docs/default-source/caacct/caep-combined.pdf?sfvrsn=4ff7315a_2

Reports for Instruction

- Individual Skills Profile
- Competency Performance Summary
- EL Civics Immigrant Integration Indicators Summary
- EL Civics I-3 Summary
- Assessment to Instruction ppt

https://www.casas.org/docs/default-source/caacct/individual-skills-profile-combined.pdf?sfvrsn=4af7315a_2

NRS/WIOA II Reports

- NRS Table 4
- NRS Table 4B
- NRS Persister
- NRS Data Integrity Report
- CA Payment Points Summary
- NRS Barriers to Employment
- NRS Ad Hoc Cross Tab
- NRS Ad Hoc Cross Tab Samples

https://www.casas.org/docs/default-source/caacct/wioa-ii-combined.pdf?sfvrsn=76f7315a_2

Other CASAS Resources

CASAS also has many other resources that may assist agencies in this planning process. Below is sample of some website features and training sessions that may help:

- [CASAS Data Dive - Part I: CAEP outcomes and reports](#) webinar
- [CASAS Data Dive - Part II: Barriers and Equity](#) webinar
- [Employment and Earnings Survey](#) provides agency, CDE area, and statewide data on students employment outcomes at 2nd and 4th quarters after exit. Agency-level reports are available in TE; statewide WIOA II agency data is available at the link above.
- Perkins CTE reports (coming soon)
- [TE student level demographics data](#)
- [TE Services Monitor and Services by Hours](#)
- WIOA Title II [CASAS Data Portal](#)
- Federal [CIP codes](#)
- CDE [A-22 codes](#)

CASAS Summer Institute 2021 Resources

Several sessions at the 2021 CASAS Summer Institute address aspects of CASAS implementation, use of TE reports, and meeting state and federal accountability standards that may be beneficial for CAEP three-year planning and goal setting.

TOPSpro Enterprise Strategies for Administrators

[TE Reports for Administrators - Self Study Packet](#)

[TE Strategies for Administrators](#)

CAEP Data and Accountability

[CAEP Data and Accountability for 2021-22](#)

State Adult Education Update

[State Adult Education Update](#)

Establishing NRS Performance Goals

[DIR Targets 2021](#)

[Establishing NRS Performance Goals](#)

[Establishing NRS Performance Goals-Panel Discussion](#)

TOPSpro Enterprise for NRS Federal Reporting

[NRS Report Samples](#)

[NRS Reports in TE](#)

California Adult Education Program (CAEP) Reports

[CAEP Report Samples](#)

[CAEP Reports in TE](#)

Innovations in Learning for Immigrant Integration Success

[Innovations in Learning for Immigrant Integration Success](#)

Adult Education and Immigrant Integration in California

[Adult Education and Immigrant Integration in CA](#)

[CAEP Effective Practices-Immigrant Integration](#)

APPENDIX C: Section Resources and Definitions

GENERAL GUIDANCE AND SUPPORT

Consortium leads and members are encouraged to make use of a range of resources and training offerings during three-year planning. This section suggests several general resources related to CAEP and collaborative planning. The sections that follow provide resources specific to developing each section of the three-year plan.

Sections	Resources
CAEP legislation and background information	CAEP Program Guidance (updated 2019) California Education Code: Adult Education Program
CAEP planning resources and tools	CAEP Planning Tool (archive of resources) CAEP Consortium Program Quality Self-Assessment Cultivating a Planning Mindset slides and recording Interest-Based Decision-Making slides and recording Human Centered Design (HCD) training slides and recording
Upcoming CAEP training	Visit the California Adult Education Professional Development page for current information on trainings on three-year planning, Regional Network meetings, and communities of practice.

SECTION 2: ASSESSMENT – Definitions and Resources

Regional Alignment and Priorities

Education and Workforce Development Initiatives or Funding Sources	Plans Possibly Guiding Services in the Region
Workforce Innovation and Opportunity Act (WIOA) Title I	Local and Regional Plans
WIOA Title II	Continuous Improvement Process (CIP)
Carl D. Perkins Career Technical Education Act	Perkins Local Application and Comprehensive Local Needs Assessment

California Strong Workforce Program (SWP)	SWP Regional Plan (in NOVA)
Accreditation	WASC Institutional Self-Study COE Institutional Self-Study

Term	Definition	Resources
Workforce Innovation and Opportunity Act (WIOA)	Federal legislation enacted in 2014 that calls for cross-system alignment; education and training that is focused on the needs of high-demand industry sectors and occupations; regional collaboration focused on the skill needs of regional economies; and the establishment of career pathways systems that make it easier to attain the skills and credentials needed for family-supporting jobs and careers	USDOL WIOA website California Workforce Development Board
Career pathway	A clear sequence of coursework and/or training credentials aligned with employer-validated work readiness standards and competencies	U.S. DOE Career Pathways Checklist Perkins Collaborative Resource Network Career Pathways Systems resources U.S. DOL Career Pathways Toolkit
Workforce sector strategies	A systems approach to workforce development that targets a specific industry or occupational cluster to both meet the needs of employees and support workers in improving their employment-related skills	U.S. DOL Issue Brief Sector Strategies U.S. DOL webinar COVID-19 Lessons Learned: Using Sector Strategies
Educational Need	AB104 Section 84911 : To determine the need for adult education, the chancellor and the Superintendent shall consider, at a minimum, measures related to adult population, employment, immigration, educational attainment, and adult literacy.	CAEP Regional Funding Formula variables used to determine educational need
Consortium members	Any community college district, school district, or county office of education, or any joint powers authority consisting of community college districts, school districts, county offices of education, or a combination of these, located within the boundaries of the adult education region,	



	that receives funds from any of the following programs or allocations: (a) The Adults in Correctional Facilities program. (b) The federal Adult Education and Family Literacy Act (Title II of the federal Workforce Innovation and Opportunity Act). (c) The federal Carl D. Perkins Career and Technical Education Act (Public Law 109-270). (d) Local Control Funding Formula apportionments received for students who are 19 years of age or older. (e) Community college apportionments received for providing instruction in courses in the areas listed in subdivision (a) of Section 84913. (f) State funds for remedial education and job training services for participants in the CalWORKs program	
Possible consortium partners	<ul style="list-style-type: none"> • Local Workforce Investment Board (LWDB) • America’s Job Centers of California (AJCCs) • Employment Development Department (EDD) • County Social Services Agencies • Public Library literacy programs • Community-based organizations 	
Participant	A person 18 years of age or older who has received 12 or more hours of instruction	Education Code Section 84901(a) Measuring Our Success: Data and Accountability Systems and Common Assessment in the California Adult Education Block Grant Program (page 17)
Service Provider	A consortium member or partner that provides adult education and/or workforce services in the region	
CAEP Program Areas (Types of Education and Workforce Services for Adults)	(1) Programs in elementary and secondary basic skills, including programs leading to a high school diploma or high school equivalency certificate. (2) Programs for immigrants eligible for educational services in citizenship, English as a second language, and workforce preparation. (3) Programs for adults, including, but not limited to, older adults, that are primarily	CAEP Program Guidance (page 13)

	related to entry or reentry into the workforce. (4) Programs for adults, including, but not limited to, older adults, that are primarily designed to develop knowledge and skills to assist elementary and secondary school children to succeed academically in school. (5) Programs for adults with disabilities. (6) Programs in career technical education that are short term in nature and have high employment potential. (7) Programs offering pre-apprenticeship training activities conducted in coordination with one or more apprenticeship programs approved by the Division of Apprenticeship Standards for the occupation and geographic area.	
Levels of Education and Workforce Services	Levels of participation by reportable individuals (received 1-11 hours of instruction or services) and participants (enrolled in one of the six CAEP program areas and received 12+ instructional contact hours)	Adult Education Pipeline Dashboard (Students and Programs, and Enrollment data)
Types	The seven CAEP Program Areas (see definition above)	CAEP Program Guidance (page 13)

SECTION 3: METRICS – Definitions and Resources

Term	Definition	Resources
Student Barriers	English Language Learner, Low Literacy, Low Income, Long Term Unemployed	Regional Funding Formula Variables
CAEP Metrics	Goal setting and target metrics in NOVA and on the AEP dashboard	CAEP Metrics for 3-Year Planning Cheat Sheet
Students and Programs Metrics	Participants by CAEP Program Area Demographics (Gender, Ethnicity/Race, Age) Barriers to Employment Participants Co-Enrolled in Credit College Courses Participants Taking Courses in More than One Program Area Participants Who Took Courses at More than One Institution Total Participants	Adult Education Metric Definition Dictionary Pages 115-142

Progress Metrics	<p>Completed One or More Educational Functional Levels Carnegie Units/High School Credits Completed a Workforce Preparation Milestone Completed an Occupational Skill Gain Completed an Immigrant Integration Milestone Subsequently Took a Transfer-Level English Course Subsequently Took a Transfer-Level Math Course Persistence Year to Year Time to Completing a Transfer Level English Course for the First Time Time to Completing a Transfer Level English Course for the First Time</p>	<p>Adult Education Metric Definition Dictionary Pages 143-155</p>
Transition Metrics	<p>Transitioned to ASE ESL, ABE and ASE Participants who Transition to Postsecondary ESL, ABE and ASE Participants who Transition to CTE Transition to Non-Developmental Credit College Course Completed 6+ College Credit Units Community College GPA 2.0+ Enrolled in Adult Ed after Taking College Credit Course</p>	<p>Adult Education Metric Definition Dictionary Pages 156-165</p>
Success/ Completion Metrics	<p>Participants Who Earned and Award Earned a Diploma, GED, or HiSET Completed a Postsecondary Credential Earned a Postsecondary CTE Certificate Earned a Low-Unit Credit Certificate Earned a High-Unit Credit Certificate Earned an Associate Degree Community College Completers (CCCCO Vision for Success definition)</p>	<p>Adult Education Metric Definition Dictionary Pages 166-178</p>
Employment and Earnings Metrics	<p>Employment Two Quarters After Exit Employment Four Quarters After Exit Employment Outcomes in TE Increase Wages Outcomes in TE Median Annual Earnings Change in Earnings Annual Earnings Compared to Living Wage</p>	<p>Adult Education Metric Definition Dictionary Pages 179-191</p>

SECTION 4: OBJECTIVES – Definitions and Resources

Term	Definition	Resources
Strategies	High-level approaches that will be taken to address needs and achieve the three CAEP objectives. Each strategy will be carried out through specific activities and to achieve measurable outcomes named in Section 5: Activities & Outcomes, using resources named in Section 6: Funds Evaluation.	CA Adult Education State Priorities Advancing CA Adult Education: Model Programs Advancing CA Adult Education: Research & Practice CAEP Practices with Promise site Connect with a subject matter expert or a community of practice

SECTION 5: ACTIVITIES & OUTCOMES – Definitions and Resources

Term	Definition	Resources
SMART goals	Goals for a project or program that are specific, measurable, achievable, relevant, and time-bound (SMART).	How to Create SMART Goals (OTAN)
Logic model	A planning tool that defines the relationships among resources, activities, outputs, outcomes, and intended impact for a program or initiative. (Although a logic model graphic will not be submitted with the three-year plan, these resources may assist a consortium with reflection and planning regarding activities and their intended outcomes.)	Logic Model Definitions and Guidance W.K. Kellogg Foundation Logic Model Development Guide

SECTION 6: FUNDS EVALUATION – Definitions and Resources

Term	Definition	Resources
Prior Year	July 2020 - June 2021	
Total Leveraged Funds	Total funds received by the agency from funding sources mandated in Program Area Reporting: WIOA Title II, Perkins, Local Control Funding Formula (LCFF), CalWORKS, community college noncredit apportionment, and Jail Education (K-12). In-kind resources and fees are also included.	Budget Bill Requirement

Possible Leveraged Funding Sources

- In-kind
- CAEP apportionment
- WIOA Title I discretionary funding from CWDB/EDD
- WIOA Title II AEFLA
- Student Equity and Achievement Program (SEAP)
- Local Control Funding Formula (LCFF) state apportionment
- California Work Opportunity and Responsibility to Kids (CalWORKs)
- WIOA Title I
- Pell Grant
- Carl Perkins
- Community college apportionment
- CARES or COVID-19 relief funds
- Fees
- Other funding sources

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Overview:

The California Adult Education Program (CAEP) three-year planning process is designed to provide consortia and consortium members a chance to (1) collectively assess the impact of services provided over the previous period, (2) identify educational and workforce needs among adult education beneficiaries and providers in the region, and (3) define strategies and activities to meet these needs over the coming three years.

This document is the companion to the CAEP Three-Year Plan 2022-2025 Guidance. This template provides a high-level overview of the information to be included in each section of the three-year plan, as well as fillable text boxes that mirror the template in NOVA. CAEP consortia can use this document to outline the information that will be entered into NOVA. The **official** three-year plan will be completed and approved by all consortium members in NOVA. Certain sections in NOVA allow for adding additional tables and content; however, since this template is for outlining purposes only, there is only one table type included for each section.

Section 1: Consortium Details

In this section, information about the consortium, its primary and fiscal contacts, and CAEP funds received is auto populated in NOVA. Provide an Executive Summary (up to 5000 characters), briefly describing needs, three-year plan metrics, objectives, activities, and outcomes, and a summary of the funds evaluation. Additionally, at the end of this section, attest to several Assurances.

Consortium Name

Consortium Short Name

Address

Website

Funding Channel 2022-23 (Direct Funded or Fiscal Agent)

CAEP Funds 2022-23

CAEP Funds 2022-21

CAEP Funds 2021-20

Consortium Contacts

Primary Contact	Primary Contact	Primary Contact

Executive Summary (up to 5000 characters)

Assurances

- I have read and agree to the following...
- I have read and agree to the following...
- I have read and agree to the following...

Section 2: Assessment

Gather data needed to describe existing adult education services, barriers, and needs. Evaluate both the needs for and the current levels and types of education and workforce services in the region, to identify gaps in current services.

Overview and Preparation (up to 5000 characters)

Provide a narrative description of the consortium's overall Assessment approach, process, and data sources.

Regional Alignment and Priorities (up to 5000 characters)

Provide a description of the alignment of adult education and workforce services supported by CAEP with those described in other education and workforce plans guiding services in the region, including plans pertaining to the building of career pathways and the employment of workforce sector strategies and those required pursuant to WIOA.

Evaluate the Educational Needs of the Adults in the Region (up to 5000 characters)

Describe and evaluate the educational needs of adults in the region. To describe the educational needs, conduct a review of data on the region's adult population. To evaluate the educational needs, critically review this data to determine its significance for the consortium's adult education services.

Contributions by Entities (up to 2500 characters)

List all consortium members who contributed to the three-year plan process and briefly describe the ways in which each consortium member or partner contributed. Per California Education Code §84905(d)(1)(E), the consortium should have considered input provided by pupils, teachers employed by local educational agencies, community college faculty, principals, administrators, classified staff, and the local bargaining units of the school districts and community college districts.

Regional Service Providers

NOVA will auto-populate this section with CAEP-funded adult education providers and the number of participants served in each program area. NOVA provides the option to add additional non-CAEP-funded adult education providers by clicking on the “+Add Service Provider” button and entering the appropriate information.

Provider Name	Provider Type	#of Participants in Program Area							
		ABE	ASE	ESL	CTE	AWD	WR	PA	ACS
Total Participants									

*Member Agency required to input number of Participants

Evaluate the Current Levels and Types of Education and Workforce Services for Adults in the Region (up to 2500 characters)

Conduct a review of data on the region’s education and workforce services, then critically review this data to determine whether it is sufficient to address the educational needs in the region, or where there are gaps in current services.

Section 3: Metrics

CAEP Barriers and Metrics

Select from drop-down menus *up to ten* CAEP Student Barriers and Metrics that are relevant for the consortium. These barriers and metrics will be used to track progress and outcomes related to the strategies and activities defined in this three-year plan, at the consortium and/or member level, as appropriate.

Of the four student barriers listed (English Language Learner, Low Literacy, Low Income, Long Term Unemployed), select at least one. Additional barriers may be selected.

Of the metrics that are listed, two are required to be tracked by all consortia: percentage of available funds spent, and number of adults served who became participants. Additional metrics may be selected.

For each of the metrics selected in this section, define targets in the section below, which will in turn inform the definition of strategies, activities, and intended outcomes in the sections that follow. Over the coming three years, the consortium will track these outcomes using TE or COMIS.

Metrics	Notes on Metrics
Mandatory Metrics for Consortia	
Number of Adults Served	<ul style="list-style-type: none"> • 1+ hrs. of instruction or received services
Barriers: <ul style="list-style-type: none"> • ELL • Low Literacy • Low Income • Long Term Unemployed 	<ul style="list-style-type: none"> • ELL, Low Literacy, & Low Income are displayed on the Adult Education Pipeline dashboard as “If Ever Flagged,” as they are considered barriers that have long term impact and, in general, reflect a longitudinal change • Long Term Unemployed is displayed on the Adult Education Pipeline dashboard as “Flagged in the Selected Year” as it is considered a barrier that can change quickly (i.e., employed)
Mandatory Metrics for Member Districts	
Adults Served Who Become Participants	<ul style="list-style-type: none"> •
Optional Metrics for Members	
Participants Who Earn a High School Diploma or Equivalency	<ul style="list-style-type: none"> •
Participants with EFL Gains - ABE	<ul style="list-style-type: none"> •
Participants with EFL Gains - ASE	<ul style="list-style-type: none"> •
Participants with EFL Gains - ESL	<ul style="list-style-type: none"> •

Metrics	Notes on Metrics
Participants with Transition to Postsecondary (CTE)	<ul style="list-style-type: none"> • Limited to Participants in ESL, ABE, and/or ASE programs • Transitions limited to transitions “for the first time” on the Adult Education Pipeline dashboard. • Counts transition to a CTE program (either in a K12 adult school or community college) and entry into apprenticeship, pre-apprenticeship, job training, and/or a training program.
Participants Who Earn a Postsecondary Credential	<ul style="list-style-type: none"> • CASAS TE also captures outcomes beyond community college, such as earning a BA/BS or entering graduate studies, whereas COMIS is limited to community college awards listed in SP02 Student-Program-Award. In the Adult

	Education Pipeline, noncredit awards requiring fewer than 48 hours are excluded.
Participants with Transition to Postsecondary	<ul style="list-style-type: none"> • Limited to Participants in ESL, ABE, and/or ASE programs • Transitions limited to transitions “for the first time” on the Adult Education Pipeline dashboard. • Counts transition to any non-developmental, for-credit college coursework (includes but not limited to transfer-level courses).

Metrics	Notes on Metrics
Participants Who Became Employed in the 2 nd Quarter After Exit	<ul style="list-style-type: none"> • The Adult Education Pipeline dashboard only uses the EDD UI Wage file match to populate employment and earnings metrics. • Limitations: Data only shows for participants with SSN; excludes self-employment and enlistment in the military. • Time lag: calculations are made in following academic year to verify that participant is not enrolled in any term/quarter first before flagging them as an “exiter” in the current academic year. • Additional employment outcomes data can be found on TOPSPro via the Employment & Outcomes Survey.

Metrics	Notes on Metrics
Earnings Metrics: Median Change in Earnings	<ul style="list-style-type: none"> Earnings metric has the same data limitations as listed above. Metric currently being displayed on the Adult Education Pipeline dashboard Metric captures the median change earnings across all exiting participants, as opposed to the number of exiting participants who experienced a wage gain. Median Change in Earnings is an effective way to show how learners have increased the dollar amount coming into homes.
Participants Who Complete an EL Civics COAAP or Course (Immigrant Integration Milestone)	<ul style="list-style-type: none"> Immigrant Integration Indicators data from CASAS TE, which captures the EL Civics COAPP, is only available starting in the 2019-2020 academic year.

Consortium Level Metric Targets (Number of Adults Served)

*Mandatory for all consortia

Metric Set	Barrier/Metric	2019-20 Actuals	2020-21 Actuals	2021-22 Actuals	2022-23 Target	2023-24 Target	2024-25 Target
All							
Student Barriers							
Student Barriers							
Student Barriers							
Student Barriers							

Member District Level Metric Targets (Number of Adults Served)

*Mandatory for all member districts

Metric Set	Barrier/Metric	2019-20 Actuals	2020-21 Actuals	2021-22 Actuals	2022-23 Target	2023-24 Target	2024-25 Target
Member Name							
All							
All							
Progress							
Employment and Wages							

Section 4: Objectives

Describe strategies that the members of the consortium and others impacted by or interested in the provision of education and workforce services to adults in the region will take to (1) address educational needs, (2) improve integration of services and transitions, and (3) improve effectiveness of services.

Address Educational Needs (up to 2500 characters)

Describe strategies that the members of the consortium will use to address the educational needs.

Improve Integration of Services & Transitions (up to 2500 characters)

Describe strategies the members of the consortium will use to improve integration of services and to improve transitions into postsecondary education and the workforce.

Effectiveness of Services (up to 2500 characters)

Describe strategies the members of the consortium will use to improve the effectiveness of their services.

Section 5: Activities & Outcomes

Create a plan to implement the strategies named in Section 4 by defining activities and intended short-term, intermediate, and long-term outcomes. Activities may be proposed at the consortium or the individual district or agency level. Definition of long-term outcomes beyond three years is optional, as this is outside of the scope of the three-year plan but may be helpful for consortium planning.

Use the “+ New Activity” button at the bottom of the page to add each Activity proposed by the consortium to address the three Objectives in Section 4: Objectives. For each Activity, provide:

Activity Name	Objective that Applies to this Activity

Brief Description of Activity and Significance of Activity to Outcome (up to 5000 characters)

For each Activity, describe the activity, agencies or individuals that will carry out the activity, key deliverables, and how this activity contributes to a successful project outcome in the current period.

Short-Term Outcomes (12 Months) (up to 500 characters)

If accomplished, these activities will lead to the following outcomes in the next year.

Intermediate Outcomes (1-3 Years) (up to 500 characters)

If accomplished, these activities will lead to the following outcomes in 1-3 years.

Long-Term Outcomes (3-5 Year) (up to 500 characters)

If accomplished, these activities will lead to the following outcomes in 3-5 years.

Adult Education Metrics and Student Barriers

Select from a drop-down menu one or more Adult Education Metrics and Student Barriers that align with the proposed activity. The drop-down menu will include all Adult Education Metrics and Student Barriers that were selected in Section 3: Metrics.

Responsible Position(s)

Name	Action

Proposed Completion Date for the Activity (any date within the three-year plan term)

Section 6: Funds Evaluation

Member Agency	Prior Year Total Leveraged Funds	Program Reporting Status
Totals		

Funds Evaluation (up to 2500 characters)

Evaluate the funds reported for 19-20 by each consortium member as part of the Program Area Reporting exercise in NOVA. Critically review data on prior year leveraged funds to anticipate and assess how well the available funds will address the educational needs of adults in the region over the coming three years.



Social Media Report

Sep 2021

Facebook activity

Page Views

September 10 - October 7

23

Total Page Views ▼ 54%

Post Reach

September 10 - October 7

2,148

People Reached ▼ 65%

Post Engagement

September 10 - October 7

123

Post Engagement ▼ 46%

Facebook post activity



Reach



2,140



Post
Engagement



123



Link Clicks



19

Social Media Audience

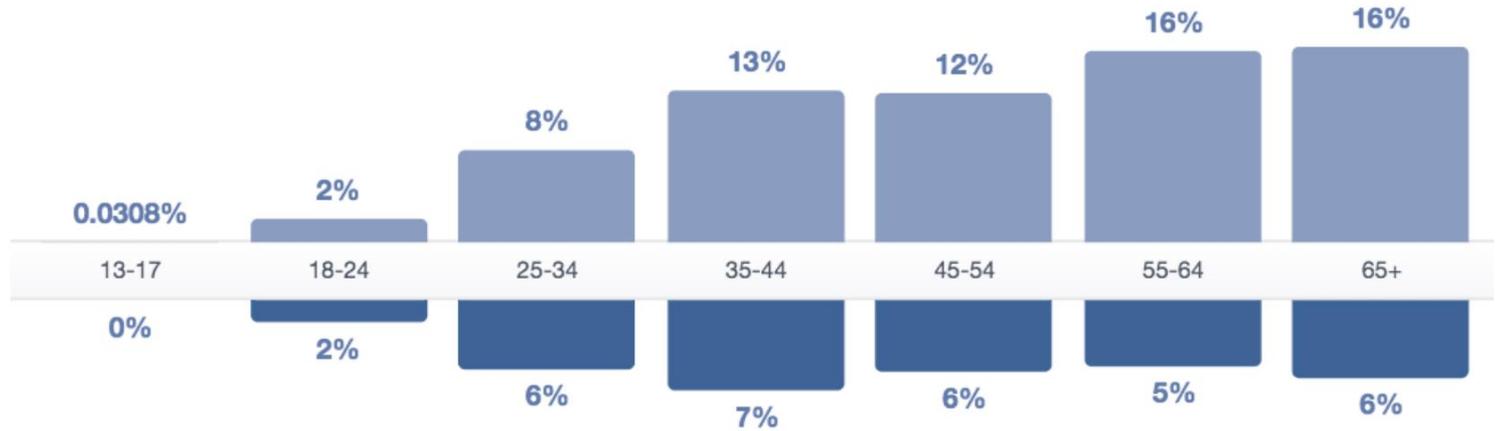
– Gender and Age –

Women

66%
People Reached

Men

33%
People Reached



Social Media Audience

– Language Group –

Language	People Reach...
English (US)	1633
Spanish	474
English (UK)	15
Spanish (Spain)	9
French (France)	3
Portuguese (Brazil)	2
Thai	2
Italian	1
Arabic	1
Ukrainian	1
Filipino	1
Simplified Chinese (Chi...	1

website traffic



SEPTEMBER 30, 2021

👁️ VIEWS	16
👤 VISITORS	5
> VIEWS PER VISITOR	3.20

website traffic

Stats for 30 days ending October 8, 2021 (Summarized)

Title	Views
Home	63
Programs & Classes	23
Contact Us	12
Consortium Documents	2
Consortium Meetings	2

Referrers to Website

Stats for 30 days ending October 8, 2021 (Summarized)

Referrer	Views
  Facebook	22
 frc.edu	... 20
  Search Engines	9
 pcoe.k12.ca.us	... 3
 tos.gcmx.net	... 1
 vjhuv.qxnr.net	... 1
 caladulted.org	... 1
 arpe.top	... 1